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Data Team
Sarah Phillips
Alison Rose
Ginese Quann

*Denotes a handout included in the packet
Overview of Special Education in California

Gabriel Petek
Legislative Analyst
November 6, 2019
Executive Summary

Report Provides Overview of Special Education Services for Students With Disabilities. Since the 1970s, federal law has required public elementary and secondary schools to provide special education services to students with disabilities. Parents or teachers typically are the first ones to identify if a student might benefit from special education services. In most cases, children are then referred to school district specialists, who evaluate whether the student has a disability that interferes with his or her ability to learn. If determined to have one or more such disabilities, the student receives an individualized education program (IEP) that sets forth the additional services the school will provide. The IEP is developed by a team consisting of each student’s parents, teachers, and district administrators. The IEP may include various types of special education services, such as specialized academic instruction, speech therapy, physical therapy, counseling, or behavioral intervention.

About One in Eight California Students Receives Special Education Services. In 2017-18, 12.5 percent of California public school students received special education—an increase from 10.8 percent in the early 2000s. Compared to other California students, students with disabilities are disproportionately low income. They also are disproportionately African American, with African American students representing 6 percent of the overall student population but 9 percent of students with disabilities. The majority of students with disabilities have relatively mild conditions such as speech impairments and specific learning disorders (such as dyslexia). The number of students with relatively severe disabilities, however, has been increasing—doubling since 2000-01. The most notable rise is in autism, which affected 1 in 600 students in 1997-98 compared to 1 in 50 students in 2017-18.

Majority of Students With Disabilities Served in Mainstream Classrooms. Federal law generally requires districts to serve students with disabilities in the least restrictive setting, and the majority of students with disabilities are taught alongside students without disabilities in mainstream classrooms. These students may receive special education services within the mainstream classrooms (for example, having an aide or interpreter) or in separate pull-out sessions. About 20 percent of students with disabilities are taught primarily in special day classrooms alongside other students with disabilities. Typically, special day classes serve students with relatively severe disabilities and provide more opportunities for one-on-one attention or specialized instruction, such as instruction in sign language. Another 20 percent of students with disabilities split their time between mainstream and special day classrooms. About 3 percent of students with disabilities are educated in separate schools exclusively serving students with disabilities.

Students With Disabilities Have Lower Academic Outcomes Than Other Students. As the figure shows (see next page), students with disabilities’ average test score on state reading and math assessments was at the 18th percentile of all test takers in 2017-18—notably below that of low-income students and English learners. Students with disabilities also have a lower four-year graduation rate than other student groups; a suspension rate that is almost double the statewide average; and a relatively high rate of chronic absenteeism, with almost one in five students with disabilities missing 10 percent or more of the school year.
Special Education Funding and Services Typically Are Coordinated Regionally. The state requires school districts to form Special Education Local Plan Areas (SELPAs). Each SELPA is tasked with developing a plan for delivering special education services within that area. Small and mid-sized districts form regional SELPAs to coordinate their special education services. Large districts may serve as their own SELPAs. Charter schools are allowed to join charter-only SELPAs, which, unlike regional SELPAs, may accept members from any part of the state. As of 2017-18, California has 132 SELPAs—consisting of 81 regional SELPAs, 45 single-district SELPAs, 5 charter-only SELPAs, and 1 unique SELPA serving students in Los Angeles County court schools.

Excess Cost Associated With Special Education Is Supported by Three Fund Sources. The average annual cost of educating a student with disabilities ($26,000) is almost triple that of a student without disabilities ($9,000). The excess cost associated with providing special education services is supported by state categorical funding, federal categorical funding, and local unrestricted funding. With the exception of a few small categorical programs (such as funding for infant/toddler services and job placement and training for older students), most state and federal special education funding is provided to SELPAs rather than directly to school districts. The largest state categorical program is known as AB 602 after its authorizing legislation. AB 602 provides SELPAs funding based on their overall student attendance, regardless of how many students receive special education or what kinds of services those students receive. Typically, SELPAs reserve some funding for regionalized services and distribute the rest to member districts. School districts use local unrestricted funding (primarily from the Local Control Funding Formula) to support any costs not covered by state and federal categorical funding.

Special Education Costs Have Increased Notably in Recent Years. Between 2007-08 and 2017-18, inflation-adjusted special education expenditures increased from $10.8 billion to $13 billion (28 percent). Both state and federal funding decreased in inflation-adjusted terms over this period, primarily as a result of declining overall student attendance. Consequently, local unrestricted funding has been covering an increasing share of special education expenditures (49 percent in 2007-08 compared to 61 percent in 2017-18). We estimate that about one-third of recent increases in special education expenditures are due to general increases in staff salaries and pension costs affecting most school districts. We estimate that the remaining two-thirds of recent cost increases are due to a rise in an incidence of students with relatively severe disabilities (particularly autism), which require more expensive and intensive supports.
INTRODUCTION

Over the past decade, the share of students identified with disabilities affecting their education has increased notably. Over the same period, inflation-adjusted per-student special education expenditures also have increased notably. Today, nearly 800,000 students in California receive special education services at a statewide annual cost of $13 billion. Despite this spending, state accountability data show that school districts have poor outcomes for their students with disabilities. These trends have motivated many district- and state-level groups to take a closer look at how California organizes, delivers, and funds special education. Recent legislation directed the Legislature and administration to work collaboratively over the coming months to consider changes in these areas, with the overarching intent to improve special education outcomes.

In this report, we aim to inform these fiscal and policy conversations by providing an overview of special education in California. We begin by describing major special education requirements, then present the latest data on students served, outcomes achieved, and dollars spent. We conclude by describing oversight activities and dispute resolution. Throughout the report, we refer to several of our other products that delve into greater detail on specific special education topics.

SPECIAL EDUCATION LAW

In this section, we identify major federal and state requirements for serving students with disabilities.

Major Federal Requirements

**Federal Courts Ruled Public Schools Must Educate All Students, Regardless of Disability.** Prior to the 1970s, public schools did not serve some children with severe cognitive or physical disabilities. Even those schools serving children with severe disabilities sometimes offered only basic daycare services with little or no educational benefit. Starting in the early 1970s, federal courts declared all children have a right to public education regardless of disability.

**Federal Law Establishes Formal Special Education Process.** Federal lawmakers responded to these court rulings by establishing a process for identifying and serving children with disabilities. Enacted in 1975, the federal law now known as the Individuals with Disabilities Education Act (IDEA) set forth a three-step process (Figure 1, see next page). IDEA authorizes federal funding to all states agreeing to implement this process. Currently, all states participate in IDEA. (For a brief overview of IDEA, see our video Overview of Special Education in California.)

**Districts Evaluate Whether Students Have Disabilities Requiring Special Education.** Federal law charges school districts with making proactive efforts to identify all children with disabilities in their service areas (a responsibility commonly known as “child find”). In practice, many children are referred for special education by their parents, perhaps in response to districts’ public awareness campaigns. In most other cases, children are referred by teachers or other school officials (Figure 2, see page 5). After a child is referred, specialists conduct a formal evaluation to determine whether (1) the child has a disability and (2) the disability interferes with the child’s ability to learn. Children that meet both of these requirements qualify for special education. In addition to special education services, federal law requires certain other services be provided to children with disabilities, as explained in the box on page 6.

**Students’ Individualized Education Programs (IEPs) Define Their Special Education Services.** Once determined eligible for special education, students with disabilities receive IEPs specifying the support their school districts will provide. At least once per year, each student’s parents, teachers, and district administrators meet to develop his or her IEP, which includes specific goals and actions...
Figure 3 provides some illustrative goals and actions that may be included in students’ IEPs.

**Districts Must Serve Students With Disabilities in the Least Restrictive Environment.** Federal law generally requires districts to serve students with disabilities in whichever educationally appropriate setting offers the most opportunity to interact with peers who do not have disabilities. For students with relatively mild disabilities, this typically means receiving instruction in mainstream classrooms. For students with relatively severe disabilities, this may mean receiving most of their instruction in special day classrooms (which exclusively serve students with disabilities) but participating in lunch or recess alongside students who do not have disabilities. As with all elements of a child’s IEP, the least restrictive environment must be determined collaboratively by each student’s parents, teachers, and district administrators.

**School Districts Must Offer Special Education Services Even to Students Enrolled in Private Schools.** About 7.5 percent of California’s school-aged children (roughly 500,000 students) are enrolled in private schools. Federal law requires school districts to identify and offer special education to all qualified children residing in their service areas, regardless of whether these children attend public or private schools. Although school districts must offer special education to these children (for example, by offering to pay for instructional aides to work alongside them in their...
parents may choose to refuse the offer and pay directly for special education services. In 2017-18, California schools provided special education services to about 2,300 students attending private schools.

**IDEA Is Long Overdue for Reauthorization.**
Between 1975 and 2004, IDEA was reauthorized four times, or about once every seven years. The last reauthorization (in 2004) was intended to extend the act through 2010. To date, Congress has made no notable effort towards a new reauthorization. The most recent reauthorization remains in effect so long as Congress continues to authorize annual appropriations to the states (as it has every year since 1975).

**Major State Requirements**

**State Law Goes Somewhat Beyond Federal Requirements.**
Though states technically can opt out of IDEA, all states currently adhere to its rules and receive associated IDEA funding. (A state opting out of IDEA is still legally responsible for serving all students with disabilities.) Upon opting into IDEA in the mid-1970s, California lawmakers enshrined most provisions of IDEA into state law. Following each subsequent reauthorization of federal law, California has made corresponding changes to state requirements. In a few areas, California law imposes additional requirements beyond IDEA. For example, state law imposes maximum caseloads on some service providers. In addition, although IDEA only requires the provision of special education until students turn age 22, state law allows students enrolled in special education programs to finish out that school year.

**State Requires School Districts to Form Special Education Local Plan Areas (SELPAs).**
Perhaps the most notable feature of California special education law is its requirement that school districts participate in a SELPA. Each SELPA is tasked with developing a plan for delivering special education services. Small and mid-sized districts form regional SELPAs to coordinate their

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**Figure 2**

*Most Students Are Referred to Special Education By Parents or School Staff*

*Special Education Referrals by Source, 2017-18*

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**Figure 3**

*Students With Disabilities Receive Individualized Education Programs*

*Illustrative Goals and Actions*

<table>
<thead>
<tr>
<th>Age</th>
<th>Disability</th>
<th>Goal</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>6</td>
<td>Speech Impairment</td>
<td>Clearly Articulate Words</td>
<td>Weekly Speech Therapy</td>
</tr>
<tr>
<td>12</td>
<td>Dyslexia</td>
<td>Read at Grade Level</td>
<td>Weekly Work With Specialist</td>
</tr>
<tr>
<td>18</td>
<td>Autism</td>
<td>Develop Job Skills</td>
<td>Subsidized Part-Time Work</td>
</tr>
</tbody>
</table>
special education services. Large districts are allowed to serve as their own SELPAs. Charter schools are allowed to join charter-only SELPAs, which, unlike regional SELPAs, may accept members from any part of the state. As of 2017-18, California has 132 SELPAs—consisting of 81 regional SELPAs, 45 single-district SELPAs, 5 charter-only SELPAs, and 1 unique SELPA serving only students in Los Angeles County court schools. As Figure 4 shows, regional SELPAs serve the majority of students with disabilities. The objective of SELPAs is to increase the effectiveness and efficiency of special education services by achieving certain economies of scale. (The box on page 7 provides more information about special education in charter schools.)

**Other Federal Laws Affecting Students With Disabilities**

*School Districts Must Address Specific Student Health Needs.* Section 504 of the federal Rehabilitation Act of 1973 provides certain rights to students with any condition that affects one or more major life activities, including walking, seeing, breathing, and concentrating. Qualifying conditions range from disabilities that also qualify students for special education, such as autism, to conditions that do not typically qualify students for special education, such as diabetes or severe allergies. Students with qualifying conditions are entitled to an individualized “504 plan” that specifies how schools will accommodate their medical needs. For example, a 504 plan could explain how the school will administer prescribed insulin treatments to a student with diabetes throughout the school day.

*Schools Must Ensure All Facilities and Events Are Accessible to Individuals With Disabilities.* The federal Americans with Disabilities Act (ADA) of 1990 requires public spaces, including schools, to be accessible to individuals with disabilities. This act also requires schools to make all activities accessible to individuals with disabilities, for example, by providing ramps for wheelchair access or sign language interpreters upon request. Although many of these protections overlap with those provided by the Individuals with Disabilities Education Act (IDEA), they apply in some situations where IDEA may not. For example, ADA requires access to after-school events that may not be included in students’ individualized education programs.

**Figure 4**

**Regional SELPAs Serve Majority of Students With Disabilities**

*Share of SELPAs, 2017-18*

- **Charter-Only**
  - 5 SELPAs
  - 19,000 Students With Disabilities

- **Single-District**
  - 45 SELPAs
  - 282,000 Students With Disabilities

- **Regional**
  - 81 SELPAs
  - 465,000 Students With Disabilities

SELPA = Special Education Local Plan Area.
Special Education in Charter Schools

Charter Schools Are Nontraditional Public Schools. Charter schools are established by petition, typically authorized by local school districts, and sometimes managed by independent parties (typically nonprofit groups). Charter schools are exempt from most state education laws and are intended to provide innovative alternatives to traditional public schools. Many charter schools are small and rely on their authorizing districts to provide basic services such as processing payroll.

Charter Schools Must Decide How to Participate in Special Education Local Plan Areas (SELPAs). State and federal law allow charter schools to function as a part of their authorizing districts for special education purposes. In these cases, charter schools are not responsible for developing and implementing individualized education programs (IEPs), rather this responsibility falls to their authorizing districts. These charter schools receive no special education funding and have no formal decision-making authority within SELPAs. In effect, these charter schools are treated like any other school of their authorizing district. Charter schools, however, may choose to provide special education services directly, thereby becoming responsible for their students’ IEPs. In these cases, charter schools receive special education funding and may vote in SELPA decisions. These charter schools may join either a collaborative SELPA (alongside other school districts) or a charter-only SELPA (exclusively alongside other charter schools). As the figure below shows, the most common arrangement is for charter schools to remain a part of their authorizing districts for special education purposes.

Charter Schools Must Accept Students With Disabilities, but Serve a Smaller Share. State law requires charter schools to accept all interested students as long as their school sites have available room. Both state and federal law specifically prohibit charter schools from refusing to accept a student based solely on a disability. Despite these requirements, available data suggest charter schools are somewhat less likely than traditional public schools to serve students with disabilities. For example, about 10 percent of students attending charter-only SELPAs have IEPs, as compared to about 12 percent of students in regional and single-district SELPAs. Further, about 2 percent of students attending charter-only SELPAs have relatively severe disabilities (meaning any disability aside from learning disorders, speech impairments, or health problems), as compared to about 4 percent of students in regional and single-district SELPAs. In conversations with various stakeholders, many indicate that parents of students with disabilities (and, in particular, parents of students with relatively severe disabilities) often prefer the special education programs offered by their districts to those offered by nearby charter schools, and thus choose not to enroll their children in charter schools. Because most charter schools are small, they are often less equipped than their authorizing districts to provide a full array of services to students with disabilities.
SELPA Provide Administrative Support and Regionalized Services. State law requires all SELPAs to collect and report certain data related to their members’ legal compliance. In addition, most SELPAs provide basic support such as in-house legal assistance and teacher trainings. Some regional SELPAs also directly serve students with severe conditions—conditions that can be prohibitively costly to serve at the local level. For example, a SELPA may operate a special day classroom for all students with severe emotional disturbance within the region or may employ an itinerant teacher to work with all students who are deaf or hard of hearing within the region. (For more information on SELPAs, see our video How Is Special Education Organized in California.)

STUDENTS WITH DISABILITIES

Share of California Students Receiving Special Education Services Has Been Increasing. After remaining at 10.8 percent throughout the early 2000s, the share of students receiving special education has increased steadily every year since 2010-11. In 2017-18, 12.5 percent of California public school students received special education. Though rates have been increasing in California, all but seven states still have higher rates. In 2017-18, the median state provided special education services to 14.3 percent of its students.

Certain Student Groups Have Relatively High Special Education Identification Rates. Figure 5 compares students with disabilities in California to the state’s overall student population by income and race/ethnicity. Compared to other California students, students with disabilities are more likely to be low income. Income status may correlate to disability status, as research has linked poor maternal health care and nutrition to higher incidence of child learning disabilities. In addition, many researchers believe cultural differences and biases contribute to racial differences in special education identification rates. Whereas Asian students have a relatively low identification rate, black students have a relatively high identification rate. The patterns across racial/ethnic groups in California are similar to patterns in other states.

Majority of Students With Disabilities Have Relatively Mild Conditions. Figure 6 shows the prevalence of specific disabilities by grade. The majority of California students who qualify for special education have one of two types of disabilities: speech impairments (such as stuttering) and specific learning disorders (such as...
The prevalence of learning disorders varies by grade, with speech impairments being more common in the early grades and learning disorders more common in the later grades. Serving students with these types of disabilities tends to be less costly compared to students with other types of disabilities. (For more information on the prevalence of specific disabilities, see our video Who Receives Special Education?)

**Number of Students With Relatively Severe Disabilities Has Increased Notably.** As Figure 7 shows, the prevalence of students with relatively severe disabilities has almost doubled since 2000-01. This increase is due largely to a notable rise in autism, which affected about 1 in 600 students in 1997-98 compared to about 1 in 50 students in 2017-18.
Incidence of Students With Disabilities Varies by Region. The overall incidence of students with disabilities varies notably among SELPAs—ranging from 4.5 percent to almost 20 percent. Large differences are evident both in the incidence of students with mild and severe disabilities. The incidence of students with relatively mild disabilities ranges across SELPAs from 4 percent to 15 percent, whereas the incidence of students with relatively severe disabilities ranges from less than 0.5 percent to 5 percent. The incidence of students with disabilities varies for at least three reasons. First, SELPAs vary in their specific practices for identifying students for special education, with some more likely to designate students with relatively mild academic or behavioral challenges as having a disability. Second, geographic factors sometimes directly affect the incidence of certain disabilities. For example, certain birth defects are more common in areas heavily impacted by drug use. Third, some areas serve as “magnets” for parents of children with specific disabilities, either because their school districts are known to have high-quality special education programs or because other community organizations (for example, hospitals) provide high-quality services to such children.

SPECIAL EDUCATION SERVICES

School Districts Offer Students With Disabilities Specialized Instruction and Services. Specialized academic instruction is the most common special education service school districts provide. Specialized instruction could be familiarizing a student’s general education teacher with certain instructional techniques designed to help that student or serving a student in a special day class with a teacher specifically trained to educate such children. In addition to specialized instruction, many students with disabilities receive support services such as speech therapy, physical therapy, counseling, or behavioral intervention services. Figure 8 shows the most common special education services for students with specific disabilities.

**Figure 8**

Most Common Services Differ Depending on Disability

Share of Services Provided by Disability, 2017-18

- **Other Health Impairment**
- **Autism**
- **Speech Impairment**
- **Learning Disorder**

- **Specialized academic instruction**
- **College/career preparation**
- **Speech therapy**
- **Counseling**
- **OT/PT**
- **Other**

*a* Includes psychological services and behavioral intervention services.

OT/PT = occupational therapy/physical therapy (includes adapted physical education).
Majority of Students With Disabilities Are Served in Mainstream Classrooms.

Figure 9 shows the settings in which students with disabilities are taught. The majority of students with disabilities are taught alongside students without disabilities in mainstream classrooms. These students may receive special education services within these mainstream classrooms (for example, having an aide or interpreter work with them one on one) or in separate pull-out sessions. Students with speech impairments or learning disorders are especially likely to be served in mainstream classrooms.

Some Students With Disabilities Are Served in Special Day Classes. About 20 percent of all students with disabilities are taught primarily in special day classrooms alongside other students with disabilities. Typically, special day classes serve students with relatively severe disabilities. Some special day classes provide instruction to the entire class using specialized techniques, for example sign language. Other special day classes are organized around individual instructional modules at which students complete activities with intensive one-on-one attention.

Some Students Split Their Time Between Settings. Another 20 percent of students with disabilities split their time between mainstream and special day classrooms. For example, a student may spend their mornings in a special day class and afternoons in a mainstream class, or may attend a special day class for some subjects (such as reading) but a mainstream class for others (such as math).

Relatively Few Students With Disabilities Are Served in Separate Schools. Whereas special day classrooms are typically located on the same campus as mainstream classrooms, about 3 percent of students with disabilities are educated in separate schools exclusively serving students with disabilities. Typically, these students attend nonpublic schools or state special schools. A variety of agencies operate about 300 nonpublic schools, which provide services to students with disabilities under contract with school districts. Almost three-fourths of the students served by these schools have been diagnosed with either autism or emotional disturbance (the remainder having various other health impairments or intellectual disabilities). In addition to nonpublic schools, the state directly operates two residential schools for students who are deaf and one residential school for students who are blind. The state also funds three diagnostic centers (one each in Northern, Central, and Southern California) that evaluate students with particularly challenging disabilities and assist with the development of IEPs. (For more information on the California Schools for the Deaf, see our report Improving Education for Deaf and Hard of Hearing Students in California.)

Older Students With Disabilities Receive Transition Plan. When students with disabilities reach 16 years old, IDEA requires their IEP teams...
to develop a transition plan to help prepare them for life after high school. These plans may focus on transitioning to postsecondary education or developing specific employment skills, like operating a cash register or performing automotive maintenance. In some cases, schools work with local employers to provide part-time work opportunities for students with disabilities prior to graduation. Transition plans also can focus on improving life skills, such as managing money or using public transportation.

## OUTCOMES FOR STUDENTS WITH DISABILITIES

**Students With Disabilities Score Lower on Reading and Math Tests Than Other Students.** As Figure 10 shows, students with disabilities’ average test score on state reading and math assessments was at the 18th percentile of all test takers in 2017-18. This percentile ranking is notably below that of other student groups, including low-income students (who score at the 35th percentile) and English learners (who score at the 23rd percentile).

**Students With Disabilities Have Worse Discipline and Attendance Outcomes.** As Figure 11 shows, students with disabilities’ suspension rate is almost double the statewide average. Students with disabilities also have relatively high rates of chronic absenteeism, with almost one in five students with disabilities missing 10 percent or more of the school year.

**Students With Disabilities Have Relatively Low Graduation Rates but Most Still Receive a High School Diploma.** As Figure 12 shows, students with disabilities have a notably lower four-year graduation rate than other student groups. Some students with disabilities, however, just take longer to graduate. Of the students with disabilities exiting high school in 2017-18, 76 percent left with a high school diploma. Of the remaining students, 13.6 percent dropped out, 3.4 percent aged out (reaching age 22), and 7 percent received a certificate of completion (discussed below).

**Some Students With Disabilities Receive Certificates of Completion in Lieu of High School Diplomas.** When an IEP team determines that a student is unlikely to meet all requirements for high school graduation, the team may elect to have the student seek a certificate of completion instead of a high school diploma. Each IEP team is responsible for setting individual standards for awarding a certificate of completion. Such certificates are sometimes accepted as the equivalent of a high school diploma. For instance,
some (typically private) colleges and some employers accept them, but they are not accepted by the military or for federal student aid. Most students receiving certificates of completion have relatively severe cognitive disabilities.

**About One in Five Districts Have Especially Poor Outcomes for Their Students With Disabilities.** California recently began implementing a new school district accountability system. As with previous accountability systems, district performance is measured overall as well as for specific student groups. In fall 2018, 343 districts (out of approximately 1,000) were identified as having poor performance with one or more of their student subgroups. Of these districts, 219 were identified because of poor outcomes for their students with disabilities.

**State Created New System of Support for Districts With Poor Special Education Outcomes.** Starting in 2018-19, California is providing $10 million annually for seven SELPAs to provide statewide assistance as well as targeted
assistance to districts identified as having poor outcomes for their students with disabilities. The SELPAs were selected through a competitive grant process. Three of the selected SELPAs are tasked with providing assistance in the core areas of (1) ensuring data integrity and conducting data analysis, (2) implementing effective special education practices, and (3) instituting schoolwide processes to support continuous improvement. The remaining four SELPAs are tasked with being statewide hubs of expertise in particular special education areas (including autism and special education for English learners).

Limited Data on Long-Term Outcomes for Students With Disabilities. The federal government requires all states to annually survey students with disabilities who exited high school the previous year. The most recently available survey results for California students indicate that about half of all students with disabilities are enrolled in higher education one year after high school. By comparison, we estimate about 60 percent of all California students are enrolled in higher education one year after graduation. About a quarter of students with disabilities are competitively employed, and slightly less than 10 percent are in other types of employment or training programs (typically subsidized). We do not have good data on student outcomes beyond the first year out of high school.

SPECIAL EDUCATION FINANCE

In this section, we focus first on the fund sources and programs that support special education, then turn to special education costs and recent cost trends.

Funding

Most State Funding Allocated Based on Overall Student Attendance. Special education is supported by state categorical funding, federal categorical funding, and local unrestricted funding. As Figure 13 shows, California has 11 special education categorical programs, with a total of almost $4 billion in associated state funding. The largest of these state special education programs is known as AB 602 after its authorizing legislation. AB 602 provides SELPAs funding based on their overall student attendance, regardless of how many students receive special education or what kinds of services those students receive. The state shifted most special education funding into AB 602 after its authorizing legislation. AB 602 provides SELPAs funding based on their overall student attendance, regardless of how many students receive special education or what kinds of services those students receive. The state shifted most special education funding into AB 602 when the program was enacted in 1997—following concerns that the state’s previous special education funding formula (which provided SELPAs differentiated rates based on the types of special education services they provided) had become too complicated and incentivized SELPAs to inappropriately identify and serve some students. Other special education programs provide funding based on alternative formulas and/or for specific types of special education services. (For more information on state funding for special education, see our post History of Special Education Funding in California.)

Federal Special Education Funding Follows Three-Part Formula. As Figure 14 shows, most federal special education funding (about 60 percent), like most state funding, is allocated based on overall student attendance, regardless of how many students receive special education or what kinds of services those students receive. Of the remaining federal special education funding, most is allocated on a hold harmless basis according to the amount provided to California in 1998-99 (the last year before the federal government revised its funding formula). The rest is allocated based on census counts of children living below poverty. As explained in the box on page 17, California allocates the majority of federal special education to SELPAs, while reserving some funding for state-identified priorities.

Federal Funding Falls Short of Aspirational Target. Starting in 1977, federal law established a maximum grant amount for each state equal to 40 percent of the national average per-student educational spending amount (including special education expenditures) times the state’s population of students with disabilities. This
aspirational funding level is commonly referred to as “full funding” for IDEA. As Figure 15 shows (see next page), actual federal funding to California schools has long fallen short of this target. It was $3.2 billion below the target in 2018-19.

**Most Categorical Funding Is Provided to SELPAs.** With the exception of a few small categorical programs (such as funding for infant/toddler services and job placement and training for older students), most state and federal special education funding is provided to SELPAs rather than directly to school districts and charter schools. Typically, SELPAs reserve some funding for regionalized services and distribute the rest to member districts. School districts use local unrestricted funding (primarily from the Local Control Funding Formula) to support any costs not covered by state and federal categorical funding. This “local share” is intended to encourage schools to contain special education costs even while ensuring adequate services.

**Figure 14**

Federal Special Education Funding Allocated Based on Three Factors

2018-19 (In Millions)

<table>
<thead>
<tr>
<th>Distribution Method</th>
<th>Amount</th>
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<tr>
<td>Overall student attendance</td>
<td>$707</td>
</tr>
<tr>
<td>Amount received in 1998-99</td>
<td>$323</td>
</tr>
<tr>
<td>Number of children below poverty line</td>
<td>125</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$1,155</strong></td>
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This “local share” is intended to encourage schools to contain special education costs even while ensuring adequate services.

**Figure 13**

California Funds Many Special Education Programs
2018-19 (In Millions)

<table>
<thead>
<tr>
<th>Program</th>
<th>Distribution Method</th>
<th>Spending Restrictions</th>
<th>Funding</th>
</tr>
</thead>
<tbody>
<tr>
<td>AB 602a</td>
<td>Overall student attendance</td>
<td>Any special education expense</td>
<td>$3,163</td>
</tr>
<tr>
<td>Mental Health Services</td>
<td>Overall student attendance</td>
<td>Mental health services for students with disabilities</td>
<td>374</td>
</tr>
<tr>
<td>Out-of-Home Care</td>
<td>Location and capacity of Licensed Children’s Institutions</td>
<td>Any special education expense</td>
<td>140</td>
</tr>
<tr>
<td>SELPA Administration</td>
<td>Overall student attendance</td>
<td>SELPA-level servicesb</td>
<td>97</td>
</tr>
<tr>
<td>Infant/Toddler Services</td>
<td>Number of infants and toddlers with special needs served</td>
<td>Early intervention services for infants and toddlers with special needs</td>
<td>80</td>
</tr>
<tr>
<td>Workability</td>
<td>Number of students enrolled in employment training programs</td>
<td>Job placement and training for students with disabilities</td>
<td>40</td>
</tr>
<tr>
<td>Low-Incidence Disabilities</td>
<td>Number of students who are deaf, hard of hearing, visually impaired, or orthopedically impaired</td>
<td>Services and materials for students with qualifying conditions</td>
<td>18</td>
</tr>
<tr>
<td>SELPA Leads</td>
<td>Competitive</td>
<td>Support services</td>
<td>10</td>
</tr>
<tr>
<td>Extraordinary Cost Pools</td>
<td>Individual student placements</td>
<td>Expenses associated with very high-cost residential or nonpublic school placements</td>
<td>6</td>
</tr>
<tr>
<td>Necessary Small SELPAs</td>
<td>Attendance in SELPAs serving fewer than 15,000 students</td>
<td>SELPA-level servicesb</td>
<td>3</td>
</tr>
<tr>
<td>Professional Development</td>
<td>Overall student attendance</td>
<td>Staff development</td>
<td>1</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td></td>
<td><strong>$3,932</strong></td>
</tr>
</tbody>
</table>

a Special education program named after authorizing legislation—Chapter 854 of 1997 (AB 602, Davis).

b Includes coordination, data management, required reporting, and fiscal administration.

SELP = Special Education Local Plan Area.
states and school districts must spend at least as much on special education each year as they did the preceding year. States and districts may choose whether their MOE is calculated on the basis of total special education spending or per-student spending. By “locking in” increased expenditures, this requirement offers an additional incentive for the state and districts to contain special education costs.

**Expenditures**

*Special Education Imposes Additional Costs Above and Beyond General Education.*

**Figure 16** illustrates the average cost of educating students with and without disabilities by funding source. Students with disabilities receive some general education resources provided to all students, such as teachers, textbooks, and administrative support. In addition, each IEP imposes specific special education costs, such as the cost for smaller classes, additional teacher support, speech pathologists, audiologists,
therapists, and tailored instructional equipment. In 2017-18, special education costs averaged about $17,000 per student with disabilities, as compared to general education costs, which averaged about $10,000 per student. Accounting for both general and special education costs, students with disabilities cost on average more than two times as much to educate ($27,000) as students without disabilities ($10,000).

**Special Education Costs Vary by Student.**
Special education is highly individualized, with some students requiring notably more intensive support and thus being more costly to serve than other students. Whereas a school district might spend $1,000 annually to provide periodic speech therapy sessions to a student with a speech impairment, it might spend more than $100,000 annually to house a student with severe emotional disturbance in an out-of-state nonpublic school. Service costs can vary notably even for students with the same type of disability. For example, we estimate schools annually spend between $15,000 and $100,000 per student who is deaf or hard of hearing, with costs varying based on what particular services each student is provided and in what educational setting.

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**Federal Funding for State-Identified Priorities**

*Some Federal Funding Used for State-Identified Priorities and Administrative Activities.*
States may reserve a certain share of their federal special education funding for two types of activities. First, states may allocate some federal funding for state-identified priorities. As the figure shows, California currently reserves $104 million of its federal funding for these priorities, which include supporting mental health services and dispute resolution. California’s allotment for these types of activities is $25 million less than the maximum amount allowed for such uses under federal law. The state currently allocates this $25 million directly to Special Education Local Plan Areas for their services. Second, states may reserve some funding for administrative activities, such as collecting and reporting data on district compliance with federal law. California currently spends the maximum amount allowed on these administrative activities ($25 million).

**California Uses Some Federal Special Education Funding for Select Priorities**

*2018-19 (In Millions)*

<table>
<thead>
<tr>
<th>Use</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mental Health Services</td>
<td>Fund SELPAs to provide mental health services to students with disabilities.</td>
<td>$69</td>
</tr>
<tr>
<td>State-Level Services</td>
<td>Support CDE and OAH in their special education-related activities, including monitoring and litigation.</td>
<td>20</td>
</tr>
<tr>
<td>State Special Schools</td>
<td>Partially pay for transporting students between home and state-run residential schools for students who are blind or deaf.</td>
<td>4</td>
</tr>
<tr>
<td>Transportation</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Specialized Instructional</td>
<td>Produce and disseminate instructional materials in braille, large print, audio book, or American Sign Language video book formats.</td>
<td>4</td>
</tr>
<tr>
<td>Materials</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Family Empowerment Centers</td>
<td>Support 14 nonprofit agencies to help educate parents about special education law and services.</td>
<td>3</td>
</tr>
<tr>
<td>Alternative Dispute</td>
<td>Help resolve disputes between parents and administrators without proceeding to a formal hearing.</td>
<td>2</td>
</tr>
<tr>
<td>Resolution</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Focused Monitoring and Support</td>
<td>Fund 11 consultants responsible for coordinating state efforts to monitor district compliance with federal education law and assist those failing to comply.</td>
<td>1</td>
</tr>
<tr>
<td>State Systematic Improvement Plan</td>
<td>Develop resources for implementing the state’s plan to improve compliance with federal law.</td>
<td>1</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td>$104</td>
</tr>
</tbody>
</table>

SELPA = Special Education Local Plan Area; CDE = California Department of Education; and OAH = Office of Administrative Hearings.
Special Education Expenditures Vary by Region. In per-student terms, special education expenditures vary notably among SELPAs. In 2017-18, we estimate SELPAs spent an average of about $2,000 per student (spreading costs across all students in the region). Per-student spending among SELPAs ranged from about $600 to more than $4,000. Special education expenditures vary by region for at least three reasons. First, the overall incidence of students with disabilities varies across the state. Second, even SELPAs serving similar proportions of students with disabilities may differ in the intensity of their services. Third, the cost of providing specific special education services varies by region, largely because of differences in the compensation packages that districts provide teachers and specialists.

Recent Cost Trends

Special Education Expenditures Have Increased Notably in Recent Years. Figure 17 shows inflation-adjusted special education expenditures by fund source between 2007-08 and 2017-18. During this ten-year period, inflation-adjusted special education expenditures increased 20 percent, from $10.8 billion to $13 billion. With the exception of an increase to federal funding as a result of stimulus legislation in 2009-10, both state and federal funding has decreased in inflation-adjusted terms over this period largely as a result of declining overall student attendance. Consequently, local unrestricted funding has been covering an increasing share of special education expenditures (49 percent in 2007-08 compared to 61 percent in 2017-18).

Expenditures Have Increased in Part Due to Spillover Effects From General Education . . .

Some of the factors increasing special education expenditures are not unique to special education. In particular, since 2013-14, increases in state K-12 funding have resulted in school districts increasing staff salaries. Over this period, schools also have been required to make larger pension contributions on behalf of their employees. We estimate these higher compensation costs account for about one-third of recent increases in special education expenditures.
. . . And in Part Due to an Increase in Students With Relatively Severe Disabilities. We estimate about two-thirds of recent increases in special education expenditures are due to an increase in the incidence of students with relatively severe disabilities, particularly autism. Students with autism typically require intensive support from paraprofessionals, speech pathologists, occupational therapists, and adaptive physical education specialists, among other specialists. California schools have increased their employment of such professionals by about 20 percent since 2006-07.

OVERSIGHT

California Department of Education (CDE) Oversees Local Compliance With Special Education Law. School districts annually submit data on certain special education indicators to CDE. For each district, the indicators track the number of students receiving special education services, the types of disabilities that students have, the district’s adherence to procedural requirements (for example, whether IEPs are held in a timely manner and include all required parties), and student outcomes. Districts may be flagged for further review or technical assistance if these indicators show noncompliance with procedural requirements, poor student outcomes, and/or significant disproportionality in the rates of identification for special education among student groups.

Federal Government Oversees State Compliance With Special Education Law. Each year, CDE compiles the data it receives from school districts into a statewide report and submits the report to the federal government. The federal Office of Special Education Programs (OSEP) reviews the report along with CDE’s description of its process for identifying and assisting districts with poor indicators. Based on this review, OSEP gives California (and all other states) a grade ranging from “meets requirements” to “needs substantial intervention.” In July 2019, OSEP designated California, along with 22 other states, as “needs assistance” (for two or more consecutive years). States awarded any designation besides meets requirements may receive additional oversight or technical assistance from the federal government.

DISPUTE RESOLUTION

Federal Law Allows Parents to Challenge Proposed Special Education Services. Under IDEA, states must establish a formal process for resolving disputes regarding IEP services. For example, parents who believe their child requires placement in a nonpublic school rather than their district’s own special day class must be permitted to argue their case before an administrative law judge focused on special education. In California, these disputes are resolved through the Office of Administrative Hearings (OAH) under a contract with CDE. Both parents and school districts may request hearings with OAH. Following a formal hearing process (which typically lasts several days), an OAH judge submits a legally binding ruling resolving each dispute.

Federal and State Law Establish Mediation Process. Because formal hearings can be costly and divisive, federal and state policies typically encourage alternative methods of dispute resolution. The most prominent alternative is mediation. During mediation, OAH assigns a trained special education mediator to works with all parties in collaboratively resolving each dispute. Typically, disputes that are not resolved during mediation proceed to a formal hearing.

Number of Disputes Has Increased. Between 2006-07 and 2016-17, the number of cases filed
with OAH (including both hearings and mediations) increased 84 percent—growing from 2,188 cases to 4,032 cases. Some stakeholders we interviewed attribute this growth to the increase in students with relatively severe disabilities, as IEPs that involve more intensive services are more likely to generate disputes. More disputes increase districts’ administrative and legal costs.

CONCLUSION

Understanding how California’s students with disabilities are served is an essential step towards improving their educational outcomes and experiences. In this report, we provide a high-level review of special education laws, services, outcomes, funding, and costs. As evident from the review, special education is characterized by a complex interplay of policies and practices at the federal, state, and local levels. Given this complexity, determining the roots of special education shortcomings, crafting potential policy responses, and identifying all the possible repercussions of proposed policy changes requires especially careful thinking and deliberation. Our intent throughout this report has been to help the Legislature understand this complexity, with the ultimate goal of better positioning the Legislature to engage with the administration in developing cost-effective policy responses for improving special education in California.
CHARTER SCHOOLS TOOLKIT
Focused on AB 1505 and AB 1507

PREPARED BY
Lozano Smith’s Charter School Practice Group

October 2019
In the largest overhaul of California’s Charter Schools Act (“Act”) since it was enacted in 1992, Governor Gavin Newsom signed AB 1505 and AB 1507 on October 3, 2019. The Act authorizes the establishment and operation of charter schools in California. The closely watched and hotly debated reforms impact most aspects of charter school authorization, including oversight, appeals, and renewals. Enactment of AB 1505 and AB 1507 follows months of negotiations and compromises from both sides in the ongoing charter school debate.

BACKGROUND
Charter schools operate independently from school districts, but they require oversight from the school district or county board that authorizes them. In the last decade, California has seen unprecedented growth in the number of charter schools. According to the California Department of Education (“CDE”), there were approximately 1,306 charter schools and seven all-charter districts in California at the beginning of the 2018-19 academic year. With this growth has come criticism that the law was not keeping pace with necessary checks and balances on charter school operation and the impacts charter schools have on public school districts. These bills were introduced to correct deficiencies and close loopholes brought to light by litigation, including Anderson Union High School District v. Shasta Secondary Home School (2016) 4 Cal.App.5th 262 handled by Lozano Smith (see Client News Brief Number 5, January 2017), as well as a subsequent Legislative Audit examining charter school operation and oversight, and the work of the Governor’s California Charter School Policy Task Force.

AB 1505
Most provisions of AB 1505 are set to go into effect on July 1, 2020. Major highlights of AB 1505 include the following changes:

> **Petition Approval Criteria.** When considering whether or not to grant or deny a petition for a new charter school, a district may consider whether the charter school will serve the interests of the entire community in which the charter school is proposing to locate. Further, if a district meets certain criteria, the district may also consider whether or not it is positioned to absorb the fiscal impact of the proposed charter school.

> **Petition Review Timelines.** The timeline for a school district to review an initial charter petition and a renewal petition has been extended. The district must hold a public hearing to consider the level of support for the petition 60 days after the petition is submitted, and the district must now hold a second public hearing to take action on a petition 90 days after the petition is submitted. Additionally, the governing board of a school district or county board of education is now required to publish all staff recommendations and findings regarding a charter petition at least 15 days before the public hearing at which the board will either approve or deny the initial or renewal petition. Petitioners must also be afforded equivalent time to present evidence and testimony to the governing board at the public hearing in which the petition will be approved or denied.
Petition Renewal Criteria. Charter petition renewals will be considered under a 3-tiered system whereby authorizers must consider the academic performance of the charter school on the state indicators included in the evaluation rubrics (the “Dashboard”) adopted by the State Board of Education (“SBE”). Under the tiered system, a “high performing” charter school may be renewed for five to seven years, an “middle performing” charter school may be renewed for five years, and a “low performing” charter school, generally, may not be renewed. However, under certain conditions, a “low performing” charter school may be renewed for a two year period. Additionally, the requirement to consider increases in pupil academic achievement as the most important factor in determining whether to grant or deny a renewal has been eliminated.

Appeal Process. The new law modifies the appeal process for denials of a new charter school petition or renewal of an existing charter at both the county and state level in a variety of ways. For example, a petition submitted on appeal to a county board of education or the SBE containing “new or different material terms” will be immediately remanded back to be reconsidered by the district within 30 days of remand. Additionally, districts and county boards of education are required to prepare and submit an administrative record to the SBE upon request of the petitioners. The SBE may only reverse the denial of a petition or renewal if it finds there was an “abuse of discretion” by the county or district, or both. If a petition is approved on appeal to the SBE, either the district or county office of education will be designated as the authorizing authority, effectively eliminating the SBE as a charter school authorizer.

Nonclassroom-Based Charter Schools. The new law creates a 2-year moratorium on the approval of a petition for the establishment of a new charter school offering nonclassroom-based instruction, effective January 1, 2020 to January 1, 2022. According to the California Charter School Policy Task Force Report, the two-year freeze on nonclassroom-based charter school will allow advocates to spend the time studying issues related to the establishment of nonclassroom-based charter schools, such as their operational practices and performance, and to make further recommendations to ensure students are receiving appropriate instruction.

Teacher Credentialing. Under prior law, charter school teachers were only required to hold a state-approved credential if teaching a Core course. Under the new law, all teachers hired after July 1, 2020 must have the appropriate credential for their certificated assignment regardless of whether they teach a Core subject. All teachers employed at a charter school during the 2019-2020 school year without a credential will have until July 1, 2025 to obtain the appropriate credential for their certificated assignment. By July 1, 2020, all charter school teachers must also obtain a certificate of clearance and satisfy the requirements for professional fitness under the Education Code.

AB 1507
AB 1507 makes two major changes to the location requirements for charter schools, effective January 1, 2020.

Charter School Location. Under prior law, a charter school that was unable to locate within the geographic boundaries of its authorizing district was permitted to establish one site outside the boundaries of the school district, but within the county in which that school district is located, if specific requirements were satisfied. AB 1507 eliminates this loophole and requires all charter schools to locate within the geographic boundaries of the authorizing district. A charter school lawfully established outside the boundaries of the authorizing district, but within the county, before January 1, 2020, may continue to operate at the site until the charter school submits a renewal petition. At that time, to continue operating at the same location, the charter school must either obtain written approval from the district where the charter school is operating, or submit a renewal petition to the district in which the charter school is located.

Resource Centers. Under prior law, a nonclassroom-based charter school was able to establish a resource center in a county adjacent to the county in which the charter school was authorized, if certain conditions were met. The new law eliminates the ability of a nonclassroom-based charter school to establish a resource center in an adjacent county. A charter school that was lawfully operating a resource center outside the geographic boundaries of the authorizing district before January 1, 2020, may continue to operate at the site until the charter school submits a renewal petition—at which time the charter school must obtain written approval from the district where the resource center is located to continue operations at the same site.
TAKEAWAYS
The current legislation reflects a shift in the charter school debate in this state. Rather than an emphasis on the performance of charter schools compared to district operated schools, the changes in the Act reflect a focus on the fiscal and operational impacts that new and existing charter schools have on public school districts. The enactment of AB 1505 and AB 1507 signals a policy shift in California and marks a victory for school districts that have been advocating for more local control of the approval, renewal, and oversight process. However, as part of the legislative compromise process, some significant new obligations have been placed on districts and will likely have long term impacts on the charter school landscape in California. In the short term, school districts should expect an influx of charter petition submissions in the coming months in anticipation of the comprehensive reforms going into effect on January 1, 2020 and July 1, 2020.

If you would like more information regarding AB 1505 and AB 1507, or if you have any questions regarding charter school authorization and oversight generally, please contact the authors of this Client News Brief or an attorney at one of our eight offices located statewide. You can also subscribe to our podcast, follow us on Facebook, Twitter, and LinkedIn or download our mobile app.
Petitioning

Q. WHAT IS THE NEW CHARTER PETITION REVIEW TIMELINE?
A. Effective July 1, 2020, a public hearing must be held within 60 days after a school district or county office receives a charter petition to consider the level of support for the charter. The decision to grant or deny the petition must be made at a public hearing, within 90 days of its receipt. The timeline to take action on a petition may be extended up to 30 days through the mutual agreement of the parties.

Q. ARE TWO PUBLIC HEARINGS NOW REQUIRED WHEN CONSIDERING A CHARTER PETITION?
A. Yes, unless the decision to grant or deny the charter petition is made at the same time as the first public hearing.

Q. WHEN IS A CHARTER PETITION CONSIDERED “RECEIVED” BY A SCHOOL DISTRICT, TRIGGERING THE 60/90 DAY TIMELINE?
A. The law now specifies that a petition is considered “received,” triggering petition review timelines, on the day a petition is submitted to the district office along with a signed certification stating the petitioner deems the petition to be complete.

Q. WHAT IS THE NEW REQUIREMENT TO PUBLISH STAFF RECOMMENDATIONS REGARDING A CHARTER PETITION?
All staff recommendations, including the recommended findings regarding a petition, must be published at least 15 days before the public hearing at which a school district or county board will either grant or deny the charter.

Q. WHAT DOES IT MEAN TO “PUBLISH” STAFF RECOMMENDATIONS AND FINDINGS?
A. AB 1505 appears to leave the method of publication up to the school district or county board. Potential methods of publication could include posting on a website, making copies available at the administrative office, posting the recommendations and findings in a publicly accessible place, or similar. Any method of posting should be Brown Act compliant.

Q. ARE THERE RULES GOVERNING HOW PETITIONERS MUST BE PERMITTED TO RESPOND TO STAFF RECOMMENDATIONS AND FINDINGS REGARDING A CHARTER PETITION?
A. Yes. During the public hearing to grant or deny a petition, petitioners must be given equivalent time and procedures as was given to staff to present evidence and testimony in response to the staff recommendations and findings.

Q. ARE THERE ANY NEW REQUIREMENTS RELATED TO MATERIAL REVISIONS TO A CHARTER PETITION?
A. Yes. At any point in time that a charter school proposes to expand operations to one or more additional sites, or grade levels, including if the proposal is made concurrent with a renewal, a material revision to the charter must be requested. This means charter schools submitting renewal requests seeking to expand operations to additional sites or grades must submit both a renewal petition and a request for a material revision.

Criteria for Granting or Denying Charter Petitions

Q. ARE THERE NEW CRITERIA UPON WHICH A CHARTER PETITION SUBMITTED TO A SCHOOL DISTRICT MAY BE DENIED?
A. Yes, there are two. A petition may now be denied where written findings are made indicating the charter school is demonstrably unlikely to serve the interests of the entire community in which the school is proposing to locate. Separately, a petition may also be denied if an authorizing school district is not positioned to absorb the fiscal impact of the proposed charter school.
Q. **WHAT DOES IT MEAN FOR A CHARTER SCHOOL TO BE DEMONSTRABLY UNLIKELY TO SERVE THE INTERESTS OF THE ENTIRE COMMUNITY IN WHICH IT PROPOSES TO LOCATE?**

A. Written findings must consider: (1) the extent to which the proposed charter school would substantially undermine existing services, academic offerings, or programmatic offerings; and (2) whether the proposed charter school would duplicate a program currently offered within the school district, if the existing program has sufficient capacity for the students proposed to be served in proximity to where the charter school intends to locate.

Q. **HOW CAN A SCHOOL DISTRICT DEMONSTRATE IT IS NOT POSITIONED TO ABSORB THE FISCAL IMPACT OF A PROPOSED CHARTER SCHOOL?**

A. A school district qualifies to assert this as a basis for denial if it has: (1) a negative interim certification, or (2) is under state receivership, or (3) has a qualified interim certification and the county superintendent of schools, in consultation with FCMAT, certifies that approving the charter school would result in the school district having a negative interim certification.

**Petition Appeals**

Q. **MAY A PETITIONER STILL APPEAL A PETITION DENIAL TO THE COUNTY BOARD OF EDUCATION?**

A. Yes. A petition may be submitted on appeal to the county board within **30 days** of its denial by a school district. If the petition contains new or different material terms, the county board must immediately remand the petition to the school district board for reconsideration, which shall grant or deny the petition within **30 days**.

Q. **WHAT DOES IT MEAN FOR A PETITION TO CONTAIN NEW OR DIFFERENT “MATERIAL TERMS”?**

“Material terms” means the signatures, affirmations, disclosures, documents, and required element descriptions, but does not include minor administrative updates to the petition or related documents due to changes in circumstances based on the passage of time related to fiscal affairs, facilities arrangements, or state law, or to reflect the county as the chartering authority.

Q. **IF A COUNTY BOARD DENIES A PETITION ON APPEAL, MAY IT BE SUBMITTED ON APPEAL TO THE STATE BOARD OF EDUCATION (SBE)?**

A. Yes. A petition may be appealed to the SBE within **30 days** of denial at the county level. A petitioner must include the findings and documentary record from consideration of the petition at the district and county levels, along with a written submission, with specific citations to the documentary record, detailing how petitioner alleges the school district or the county board, or both, abused their discretion. If a petition contains new or different material terms, the SBE must immediately remand the petition to the school district board for reconsideration, which shall grant or deny the petition within **30 days**.

Q. **WHO IS RESPONSIBLE FOR PREPARING THE DOCUMENTARY RECORD OF THE SCHOOL DISTRICT AND/OR COUNTY BOARD’S CONSIDERATION OF THE PETITION?**

A. Each school district and county board is responsible for preparing the documentary record of its own proceedings, at a petitioner’s request, including transcripts of the public hearing(s), if any, where the petition was denied. The record must be provided to a petitioner within **10 days** of such request.

Q. **DOES THIS MEAN A SCHOOL DISTRICT OR COUNTY OFFICE IS REQUIRED TO RECORD AND TRANSCRIBE ITS PUBLIC HEARINGS TO CONSIDER CHARTER PETITIONS?**

A. This is an area of the new law that is open to interpretation. Discuss with legal counsel whether your school district or county office is now required to record and/or transcribe its meetings.

Q. **IS A SCHOOL DISTRICT OR COUNTY BOARD PERMITTED TO RESPOND TO A PETITIONER’S ALLEGATIONS REGARDING ABUSE OF DISCRETION IN THE CHARTER PETITION APPROVAL PROCESS?**

A. Yes. Within **30 days** of receipt of an appeal submitted to the SBE, a school district or county board may submit a written opposition to the SBE detailing, with specific citations to the documentary record, opposing the appeal.
Q. UNDER WHAT CIRCUMSTANCES MAY THE SBE APPROVE A PETITION ON APPEAL?
A. The SBE may reverse a petition denial only upon its determination that there was an abuse of discretion at the school district and/or county level.

Q. IF A CHARTER PETITION IS APPROVED ON APPEAL AT THE STATE BOARD LEVEL, WHO WILL BE THE CHARTERING AUTHORITY?
A. The SBE is getting out of the charter authorizing business. If a petition denial is reversed by the SBE, either the school district or county board of education will be designated as chartering authority—a decision made by the SBE in consultation with petitioner.

Petition Renewals

Q. DOES AB 1505 PROVIDE NEW CRITERIA FOR CONSIDERING CHARTER PETITION RENEWALS?
A. Yes. In effect, the new law establishes a three-tier system of charter renewal criteria based on a charter school’s performance, depending on whether a charter school is generally low performing, middle, or high performing. Performance criteria are largely based on criteria from the State Dashboard.

Q. WHERE CAN I FIND A BREAKDOWN OF THE CRITERIA FOR THE NEW THREE-TIER RENEWAL SYSTEM?
A. Lozano Smith has created a quick-reference chart explaining in detail the new criteria for considering charter renewal petitions. Please contact Client Services (clientservices@lozanosmith.com) to request a copy of the chart.

Q. WHAT CRITERIA ARE USED FOR CHARTER SCHOOLS NOT SCORED ON THE DASHBOARD?
A. For charter schools eligible for alternate methods for calculating the state and local indicators, the chartering authority must consider the charter school’s performance on alternative metrics applicable to the charter school based on the pupil population served.

Q. WHAT IF THE DASHBOARD INDICATORS ARE NOT AVAILABLE AT THE TIME OF RENEWAL?
A. If the dashboard indicators are not yet available for the most recently completed academic year before renewal, the chartering authority shall consider verifiable data provided by the charter school related to the Dashboard indicators, such as data from the California Assessment of Student Performance and Progress (CASPP).

Credentialing and Fingerprint Clearance Requirements for Charter School Teachers

Q. IS IT TRUE THAT ALL CHARTER SCHOOL TEACHERS MUST NOW HOLD A TEACHING CREDENTIAL?
A. Yes. Charter School teachers must hold the Commission on Teacher Credentialing (CTC) certificate, permit, or other document required for the teacher’s certificated assignment. A charter school has authority to request an emergency permit or a waiver from the CTC, on a case-by-case basis, in the same manner as a school district.

Q. WILL CURRENT CHARTER SCHOOL TEACHERS WITHOUT CREDENTIALS BE GIVEN TIME TO COME INTO COMPLIANCE?
A. Yes. Teachers employed by charter schools during the 2019-20 school year will have until July 1, 2025 to obtain the certificate required for the teacher’s certificated assignment.

Q. WHAT IF A CHARTER SCHOOL TEACHER WORKED WITHOUT A CREDENTIAL IN PRIOR SCHOOL YEARS, BUT TOOK THE 2019-20 SCHOOL YEAR OFF?
A. The new law provides an exception only for teachers working in the 2019-20 school year. Therefore, teachers who worked without a credential prior to this school year, and subsequently wish to work in the 2020-21 school year or thereafter, must comply with all new credentialing requirements.
Q. WHAT ARE THE NEW FINGERPRINT AND PROFESSIONAL CLEARANCE REQUIREMENTS FOR CHARTER SCHOOL TEACHERS?
A. By July 1, 2020, all charter school teachers must obtain a certificate of clearance from the CTC and satisfy the CTC’s professional fitness requirements. This means all charter school teachers will be required to submit their fingerprints and information regarding their background to the CTC, and obtain Department of Justice and Federal Bureau of Investigation clearances, through the CTC.

Q. OUR CHARTER SCHOOL TEACHERS ALREADY HAVE LIVESCAN FINGERPRINT CLEARANCES ON FILE WITH THE DISTRICT. MUST TEACHERS BE RE-FINGERPRINTED THROUGH THE CTC?
A. Yes, if a teacher does not possess a current CTC certificate of clearance, the teacher will need to be re-fingerprinted in order to obtain one.

Charter School Location

Q. I UNDERSTAND NEW RESTRICTIONS HAVE BEEN PLACED ON WHERE A CHARTER SCHOOL MAY LOCATE. TRUE?
A. Yes. With very few exceptions, all charter schools must now operate within the geographic boundaries of the authorizing school district.

Q. IS A NONCLASSROOM-BASED CHARTER SCHOOL STILL PERMITTED TO OPERATE A SATELLITE FACILITY IN A COUNTY ADJACENT TO THE ONE IT IS AUTHORIZED IN?
A. No. Effective January 1, 2020, this exception, formerly located at Education Code section 47605.1(c), is eliminated.

Q. WHAT HAPPENS TO CHARTER SCHOOL FACILITIES LOCATED IN AN ADJACENT COUNTY AT THE TIME AB 1507 WAS ENACTED?
A. Charter school facilities lawfully located in an adjacent county, pursuant to section 47605.1(c) prior to January 1, 2020, may operate in their present location until the charter petition comes up for renewal. At that time, before submitting a renewal petition to its authorizer, a charter school must first obtain written permission from the school district(s) in which its satellite facility is located, to continue operating at the adjacent county location.

Q. WHERE MAY A NONCLASSROOM-BASED CHARTER SCHOOL NOW LOCATE ITS SATELLITE FACILITIES?
A. Going forward, a nonclassroom-based charter school may now operate a resource center, meeting space, or other satellite facility within the jurisdiction of the school district in which it is physically located, if: (1) the facility is used exclusively for the educational support of students enrolled in nonclassroom-based independent study of the charter school, and (2) the charter school provides its primary educational services in, and a majority of the pupils it serves are residents of, the county in which the charter school is authorized.

Q. DOES THE LAW PROVIDE FOR A CHARTER SCHOOL TO ESTABLISH ADDITIONAL FACILITIES WITHIN THE JURISDICTION OF THE CHARTERING AUTHORITY?
A. Yes, either a seat-based or nonclassroom-based charter school may establish additional facilities within the jurisdiction of the charter school’s chartering authority, only if: (1) the charter school is physically located within the boundaries of the charter school’s chartering authority, and (2) the charter school obtains written approval from the chartering authority for each additional facility.

Q. MAY A CHARTER SCHOOL STILL LOCATE ONE SITE OUTSIDE THE BOUNDARIES OF ITS AUTHORIZER, BUT WITHIN THE SAME COUNTY, IF IT ATTEMPTED, BUT WAS UNABLE, TO LOCATE WITHIN THE GEOGRAPHIC BOUNDARIES OF ITS AUTHORIZING SCHOOL DISTRICT?
A. No. Effective January 1, 2020, this exception, formerly located at Education Code sections 47605(a)(5) and 47605.1(d), was eliminated entirely.
Q. WHAT HAPPENS TO A CHARTER SCHOOL LOCATED AT A SITE OUTSIDE THE BOUNDARIES OF THE DISTRICT, BUT WITHIN THE SAME COUNTY, AT THE TIME AB 1507 WAS ENACTED?
A. Charter schools lawfully located pursuant to sections 47605(a)(5) and/or 47605.1(d) prior to January 1, 2020 may operate in their present location until the charter petition comes up for renewal. At that time, a charter school must do one of the following: either (1) before submitting a renewal petition to its authorizer, first obtain written permission from the school district(s) in which the charter school is located, to continue operations at the same location; or (2) submit a renewal petition pursuant to section 47607, to the school district in which the charter school facility is physically located.

Q. IF A SCHOOL DISTRICT PROVIDES WRITTEN PERMISSION FOR A CHARTER SCHOOL TO LOCATE IN ITS BOUNDARIES, HOW LONG IS THAT PERMISSION GOOD FOR?
A. The new law does not establish whether a school district’s grant of permission constitutes carte blanche for a charter school to remain located within the boundaries of that district indefinitely. Although the law is unclear, it would seem permissible for a school district to place limiting language on any written permission it chooses to give—e.g., only for the life of the renewal petition, etc.

Q. DOES A GEOGRAPHIC LOCATION EXCEPTION STILL APPLY FOR CHARTER SCHOOLS OPERATING EXCLUSIVELY IN PARTNERSHIP WITH THE FEDERAL WORKFORCE INNOVATION AND OPPORTUNITY ACT (WIOA)?
A. Yes. AB 1507 left intact the geographic location exception applicable to charter schools lawfully providing instruction in exclusive partnership with either WIOA, or another agency set forth in the amended Education Code section 47605.1(f).

Nonclassroom Based Charter School Moratorium

Q. WHO IS IMPACTED BY THE NEW CHARTER SCHOOL MORATORIUM?
A. New law creates a two year moratorium, effective from January 1, 2020 to January 1, 2022, on the approval of a petition for the establishment of a new charter school offering nonclassroom-based instruction.

Q. DOES THIS APPLY TO HYBRID PROGRAMS INCLUDING BOTH SEAT-BASED AND NONCLASSROOM-BASED INSTRUCTION?
A. Yes. While the new law does not address this point expressly, it forbids during the moratorium period granting any petition providing for nonclassroom-based instruction, as that term is defined by Education Code section 47612.5(e).

Q. ARE THERE ANY EXCEPTIONS TO THE MORATORIUM?
A. Very few. If: (1) a nonclassroom based charter school is required to resubmit its petition to a chartering authority to in an adjacent county in which its resource center is located to comply with AB 1507, or to retain its current program offerings or enrollment, or (2) if a charter school is required to submit a petition to comply with the Anderson ruling or other court order and the petition is necessary to retain current program offerings or enrollment, then it may continue operating through the moratorium period, but only if the charter school was approved and serving pupils prior to October 1, 2019.

Q. WHAT IF A PETITIONER SUBMITS A CHARTER PETITION PROPOSING TO OFFER NONCLASSROOM-BASED EDUCATION DURING THE MORATORIUM PERIOD?
A. Such a charter petition may not be lawfully approved. School districts and/or county offices of education may first wish to contact the petitioner with a reminder regarding the prohibition on nonclassroom-based education during the moratorium period. If a petitioner does not withdraw its petition, the reviewing agency should contact legal counsel to determine the most appropriate next steps.
AB 1505 AND AB 1507
IMPLEMENTATION CHECKLIST

☐ BOARD POLICIES
School districts and county offices of education should review current board policies and revise as necessary to reflect the new requirements of AB 1505 and/or AB 1507. To assist in this process, we understand CSBA will be revising its model policies in the coming months.

☐ PETITION REVIEW MATRICES
Petition review matrices should be updated to reflect new petition review criteria. It may be advisable to develop a separate petition matrix for charter renewal petitions that reflects the new criteria for considering charter petition renewals. CARSNet is in the process of updating its petition review matrix and will make it available to authorizers once finalized.

☐ REVISE INTERNAL PETITION PROCESSING GUIDELINES FOR DISTRICT STAFF AND PETITIONERS
Authorizers should review internal charter petition processing guidelines provided to both district staff and petitioners, and revise as necessary to reflect new petitioning timelines and procedures.

☐ DEPENDENT CHARTER SCHOOL COMPLIANCE AUDIT
Consider reviewing the petitions and operations of all currently authorized dependent charter schools to proactively determine whether compliance or other issues may arise under AB 1505 and/or AB 1507. Areas of consideration include, but are not be limited to:
- Charter school geographic location
- Teacher credentialing standards
- Teacher fingerprint and professional clearance requirements
- Charter school State Dashboard performance

Optionally, authorizers may consider initiating discussions with independently operated charter schools to determine if compliance issues are anticipated under the new legislation.

☐ OPERATIONAL MEMORANDA OF UNDERSTANDING REVIEW
Conduct a review of any current, operational memoranda of understanding (MOU) agreements with charter schools to determine whether the MOUs may trigger potential issues under AB 1505 and/or AB 1507. Examples of potential issues that may arise include:
- Charter renewal provisions
- Material revision provisions
- Facilities location provisions

☐ COLLABORATION WITH AUTHORIZED CHARTER SCHOOLS
After reviewing current charter school petitions, operations, and MOUs, consider working collaboratively with current charter schools authorized to develop strategies for addressing any implementation concerns under AB 1505 and/or AB 1507.

☐ TRAINING FOR KEY STAFF MEMBERS AND DISTRICT GOVERNING BOARD MEMBERS
Determine whether training on new legal requirements of AB 1505 and/or AB 1507 is advised for key staff members working in the areas of charter school petitioning or operations.
### High Performing Schools
Education Code § 47607(c)

**SHALL NOT DENY RENEWAL** for a charter school that for two consecutive years immediately preceding renewal either:

1. Scored green or blue schoolwide on all state indicators on the Dashboard;

OR

2. For all measurements of academic performance, received performance levels schoolwide that are the same or higher than the state average, AND for a majority of subgroups performing statewide below the state average in each respective year, received levels that are higher than the state average.

Charter schools not satisfying the “high performing” or “low performing” criteria should be evaluated under this column.

MAY DENY ONLY upon making written findings that:

1. The charter school has failed to meet or make sufficient progress toward meeting standards that provide a benefit to pupils of the school;

AND

2. The closure is in the best interest of the pupils;

AND

3. The decision provided greater weight to the performance on measurements of academic performance.

<table>
<thead>
<tr>
<th>For charter schools satisfying the criteria in this column:</th>
</tr>
</thead>
<tbody>
<tr>
<td>› 5–7 year renewal term</td>
</tr>
<tr>
<td>› Only required to update petition to include reasonably comprehensive description of any new requirements, and as necessary to reflect the current program offered by the charter school</td>
</tr>
<tr>
<td>› Charter schools eligible for technical assistance not eligible for renewal under this column</td>
</tr>
</tbody>
</table>

### Middle Performing Schools
Education Code § 47607.2(b)

Charter schools not satisfying the “high performing” or “low performing” criteria should be evaluated under this column.

MAY DENY ONLY upon making written findings that:

1. The school achieved measurable increases in academic achievement, as defined by at least one year’s progress for each year in school;

OR

b. Strong postsecondary outcomes equal to similar peers.

### Low Performing Schools
Education Code § 47607.2(a)

**SHALL NOT RENEW** a charter school that for two consecutive years immediately preceding renewal either:

1. Scored red or orange schoolwide on all state indicators on the Dashboard;

OR

2. For all measurements of academic performance, received performance levels schoolwide that are the same or lower than the state average, AND for a majority of subgroups performing statewide below the state average in each respective year, received levels that are lower than the state average.

However, **MAY RENEW ONLY** upon making both of the following written factual findings:

1. The charter school is taking meaningful steps to address the underlying cause(s) of low performance, which are or will be written in a plan adopted by the governing body of the charter school;

AND

2. There is clear and convincing evidence, demonstrated by verified data, showing either:

a. The school achieved measurable increases in academic achievement, as defined by at least one year’s progress for each year in school;

OR

b. Strong postsecondary outcomes equal to similar peers.

<table>
<thead>
<tr>
<th>For charter schools satisfying the criteria in this column:</th>
</tr>
</thead>
<tbody>
<tr>
<td>› 2 year renewal term</td>
</tr>
<tr>
<td>› Verified data considered until 6/30/2025 for a school operating on or before 6/30/2020 for the next two subsequent renewals</td>
</tr>
</tbody>
</table>
Notwithstanding 47607(c), 47607.2(a) and 47607.2(b), pursuant to 47607(e)

<table>
<thead>
<tr>
<th>MAY DENY RENEWAL</th>
<th>of any charter school upon a finding that either:</th>
</tr>
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<tbody>
<tr>
<td></td>
<td>1. The charter school is demonstrably unlikely to successfully implement the program set forth in the petition due to substantial fiscal or governance factors;</td>
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<td></td>
<td>OR</td>
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<td></td>
<td>2. The charter school is not serving the pupils who wish to attend, as documented by 47607(d).</td>
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</tbody>
</table>

Must provide 30 days’ notice with a reasonable opportunity to cure the violation AND make a finding that either:

<p>| | |</p>
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<tbody>
<tr>
<td>a.</td>
<td>The corrective action proposed by the charter school has been unsuccessful;</td>
</tr>
<tr>
<td>OR</td>
<td></td>
</tr>
<tr>
<td>b.</td>
<td>The violations are sufficiently severe and pervasive as to render a corrective action unviable.</td>
</tr>
</tbody>
</table>
Does the charter school have a facility located within the geographic boundaries of authorizer?

NO

Likely lawful provided all locations approved within petition

YES

Any other facilities?

NO

YES

Inside boundaries of authorizer?

NO

YES

Was charter school facility lawfully located before or after 1/1/2020?

Before 1/1/2020

Is facility lawfully located outside the boundaries of authorizer, but within the same county?

YES

NO

Likely lawful

NO

YES

On or after 1/1/2020

Is facility a satellite facility used exclusively for educational support of pupils enrolled in non-classroom based independent study of charter school?

NO

YES

Facility likely unlawful

NO

YES

Is facility located within the jurisdiction of school district where charter school is physically located?

NO

Likely lawful, if charter school provides its primary educational services in, and a majority of pupils are residents of, county in which charter school is authorized.

NO

Facility likely unlawful

NO

YES

May continue to operate through renewal. Then, to continue to operate in same location, charter school must either:
1) Before renewal, obtain written approval from school district where physically located, or
2) Submit renewal petition to school district where physically located.

YES

May continue to operate through renewal. Then, to continue operating in same location, charter school must, before renewal, obtain written approval from school district where physically located.

1 Or, in exclusive partnership with another program set forth in Education Code section 47605.1(f), as amended January 1, 2020.

2 There are very limited exceptions to these rules, applicable on a fact-specific, case-by-case basis. For further guidance, contact your legal counsel.
Date: November 22, 2019

Subject: Official Message from the Programs and Partnerships Unit of the Special Education Division

2019–20 System of Support Events Calendar

The California Department of Education, Special Education Division (SED) is pleased to share the 2019–20 System of Support Events Calendar. This calendar contains a variety of events aimed at providing training and resources to support educators in California:

https://www.cde.ca.gov/sp/se/ac/system-support-events.asp

As the dates included are gathered from various sources, they are not intended as definitive or official notification from the SED. This page will be periodically updated with new events as they are announced.

If you have any questions regarding this subject, or would like to have your event included on the calendar, please contact the Programs and Partnerships Unit by phone at 916-327-0878 or by email at SELPALEADS@cde.ca.gov.

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<table>
<thead>
<tr>
<th>SELPA Activities</th>
<th>Due Dates</th>
<th>CDE Activities</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>2019-20 Performance Indicator Review (PIR) for data year 2018-19</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Dec. 2019/Jan. 2020</td>
<td>Annual Determination Notification to LEAs from DEA</td>
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<tr>
<td></td>
<td>2/10/2020</td>
<td>PIR Notification letter to LEAs from FMTA 2</td>
</tr>
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<td></td>
<td>Jan.-March 2020</td>
<td>PIR Plan trainings for LEAs</td>
</tr>
<tr>
<td>Assurances Form Due</td>
<td>3/10/2020</td>
<td>PIR Plan reminder letter 1 to LEAs</td>
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<tr>
<td></td>
<td>3/10/2020</td>
<td>PIR Plan reminder letter 2 to LEAs</td>
</tr>
<tr>
<td></td>
<td>5/10/2020</td>
<td>PIR Plan reminder letter 3 to LEAs (as needed)</td>
</tr>
<tr>
<td>Performance Indicator Review Plan Due</td>
<td>6/30/2020</td>
<td>Performance Indicator Review Plan Due</td>
</tr>
<tr>
<td></td>
<td>9/1/2020</td>
<td>Missing elements letter to LEAs</td>
</tr>
<tr>
<td></td>
<td>9/30/2020</td>
<td>PIR Plan acceptance letter</td>
</tr>
<tr>
<td><strong>Comprehensive Reviews (CR)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>12/19</td>
<td>CR - Select LEAs</td>
</tr>
<tr>
<td></td>
<td>1/20</td>
<td>CR - Notify LEAs of Selection and Review Date</td>
</tr>
<tr>
<td></td>
<td>Various dates</td>
<td>CR - Monitoring Plan Completed</td>
</tr>
<tr>
<td></td>
<td>depending on scheduled CR</td>
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<tr>
<td></td>
<td>date, but no later than</td>
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<tr>
<td></td>
<td>one week prior to visit</td>
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<tr>
<td></td>
<td>must have division director</td>
<td></td>
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<tr>
<td></td>
<td>approval.</td>
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<tr>
<td></td>
<td>Various dates</td>
<td>CR - Conduct review. Student and District Level Findings Identified</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
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<tr>
<td></td>
<td>No later than three months</td>
<td></td>
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<td></td>
<td>after post review meeting</td>
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<tr>
<td></td>
<td>CR - Report sent including</td>
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<td></td>
<td>findings and corrective</td>
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<tr>
<td></td>
<td>actions</td>
<td></td>
</tr>
<tr>
<td>CR - Student Corrective Action Due</td>
<td>45 school days from the CR</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Report date</td>
<td></td>
</tr>
<tr>
<td>CR - District Correction Due</td>
<td>60 school days from the CR</td>
<td></td>
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<td></td>
<td>Report date</td>
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<td></td>
<td>1st visit no later than</td>
<td>CR - Prong II Onsite Visit</td>
</tr>
<tr>
<td></td>
<td>120 days after CR report</td>
<td></td>
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<tr>
<td></td>
<td>date</td>
<td></td>
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<td></td>
<td>No later than one year</td>
<td>CR - Completion Letter</td>
</tr>
<tr>
<td></td>
<td>after CR Report date or</td>
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<td></td>
<td>sanction process should</td>
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<td></td>
<td>have been initiated</td>
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<tr>
<td>SELPA Activities</td>
<td>Due Dates</td>
<td>CDE Activities</td>
</tr>
<tr>
<td>---------------------------------------------------------------------------------</td>
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<td>--------------------------------------------------------------------------------</td>
</tr>
<tr>
<td><strong>Disproportionate Representation (Dispro Self-Study Review)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dispro- SELPA Preview</td>
<td>8/1/2019</td>
<td>On or before</td>
</tr>
<tr>
<td>8/16/2019 Dispro - Notify LEA</td>
<td></td>
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</tr>
<tr>
<td>10/30/2019 Dispro - LEA Self-Study Review Submitted</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12/1/2019 Dispro - LEA notified of corrective actions required (FMTA)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dispro-Student Corrective Actions Due</td>
<td>45 school days from notification of student noncompliance</td>
<td>Note: 45 calendar days (1/30/2020) SECMS won't do school days</td>
</tr>
<tr>
<td>Dispro-Policies and Procedures Corrective Actions Due</td>
<td>60 school days from notification of LEA noncompliance</td>
<td>Note: 60 calendar days (2/14/2020) SECMS won't do school days</td>
</tr>
<tr>
<td>Verified Student List Due to CDE and Access to Records Granted</td>
<td>4/8/2020</td>
<td></td>
</tr>
<tr>
<td>4/9/2020 Dispro- Prong II Review</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6/30/2020 Dispro- Completion letter</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>2018-19 LEA Compliance Determination (CD)</strong></td>
<td></td>
<td></td>
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<tr>
<td>SELPA Preview</td>
<td>11/25/2019</td>
<td></td>
</tr>
<tr>
<td>12/10/2019 CD- Information Sent to District</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>SFY 2020-21 Significant Disproportionality (Sig Dis) CCEIS Quarterly Reports</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sig Dis - Preview to SELPAs</td>
<td>12/1/2019</td>
<td></td>
</tr>
<tr>
<td>1/10/2020 Sig Dis - Notify LEAs and send Post Identification instructions</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sig Dis- Assurance Due</td>
<td>2/10/2020</td>
<td>30 days after notification</td>
</tr>
<tr>
<td>Budget Reporting Forms</td>
<td>6/30/2020</td>
<td>With CCEIS final plan</td>
</tr>
<tr>
<td>Sig Dis - SFY 2020-21 Quarterly Report 1</td>
<td>1/10/2021</td>
<td>The Sig Dis district should send the Quarterly Reports to both the Consultant and the analyst processing the payments for the AU to ensure the reported CCEIS amounts reflect the 15% CCEIS on each appropriately received funds for Resources 3310, 3320 and 3315</td>
</tr>
<tr>
<td>Sig Dis - SFY 2020-21 Quarterly Report 2</td>
<td>4/10/2021</td>
<td>Same as above</td>
</tr>
<tr>
<td>Sig Dis - SFY 2020-21 Quarterly Report 3</td>
<td>7/10/2021</td>
<td>Same as above</td>
</tr>
<tr>
<td>Sig Dis - SFY 2020-21 Quarterly Report 4</td>
<td>10/10/2022</td>
<td>Same as above</td>
</tr>
<tr>
<td>Sig Dis - SFY 2020-21 Quarterly Report 5</td>
<td>1/10/2022</td>
<td>Same as above</td>
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<tr>
<td>Sig Dis - SFY 2020-21 Quarterly Report 6</td>
<td>4/10/2022</td>
<td>Same as above</td>
</tr>
<tr>
<td>Sig Dis - SFY 2020-21 Quarterly Report 7</td>
<td>7/10/2022</td>
<td>Same as above</td>
</tr>
<tr>
<td>Sig Dis - Final Report of Expenditure of Funds</td>
<td>10/10/2022</td>
<td>Same as above</td>
</tr>
<tr>
<td>SELPA Activities</td>
<td>Due Dates</td>
<td>CDE Activities</td>
</tr>
<tr>
<td>------------------------------------------------------</td>
<td>------------</td>
<td>----------------------------------------------------</td>
</tr>
<tr>
<td>Personnel Data Report</td>
<td>4/1/2020</td>
<td>Personnel Report - Notify SELPAs</td>
</tr>
<tr>
<td></td>
<td>4/15/2020</td>
<td>Personnel Report - Survey Software Application</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Release</td>
</tr>
<tr>
<td>Personnel Report - Survey Due</td>
<td>6/15/2020</td>
<td></td>
</tr>
<tr>
<td><strong>Annual Budget and Service Plan</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SELPA Annual Budget Plan</td>
<td>4/22/2020</td>
<td>Service Plan - Update to LEAs including instructions</td>
</tr>
<tr>
<td></td>
<td></td>
<td>and forms</td>
</tr>
<tr>
<td>SELPA Annual Service Plan - Service Descriptions</td>
<td>6/30/2020</td>
<td></td>
</tr>
<tr>
<td>SELPA Annual Service Plan - Service Location Plan</td>
<td>6/30/2020</td>
<td></td>
</tr>
<tr>
<td>SELPA Annual Budget and Service Plans Certifications</td>
<td>6/30/2020</td>
<td></td>
</tr>
</tbody>
</table>
Data Overview

SPED Discrepancy Reports in CALPADS

From CALPADS, (click here for full details) it is possible to run a SPED Discrepancy Report which will compare demographic data (race/ethnicity; sex/gender; etc.) between SIS data and SEIS data. Currently, if this information does not align, LEAs will receive warnings, but will still be able to submit their data. However, CDE will be looking at this data and will be making changes to future data certification cycles around demographic data as it applies to Disproportionality. SELPA recommends that SEIS DLU, SIS Admins, and CALPADS Admins at the districts begin running this report on a regular basis in order to align their demographic data.

Remember, when planning to correct these (and all other CALPADS) errors, it is important to make sure that the local admins of both SIS and SEIS talk with each other. While CALPADS will use SIS demographic data by default, that does not mean that the SIS data is more correct than that in SEIS. In fact, because of the often more intensive interaction SPED students have with staff, SEIS demographic data (particularly around sex/gender, residence, and things that can be changed without court documents) may be more up-to-date.

CAASPP, TOMS, and Testing

Accommodations

Be aware that going forward, any student in need of and requesting testing accommodations must have a current SPED file in CALPADS that shows disabilities and services which align with the requested accommodations. With the iterative nature of CALPADS data, a SPED student’s file will not be current in CALPADS until all “fatal” errors have been resolved in the report upload process between SEIS and CALPADS. This is just one more reason that it is important to run regular reports and reconcile data between SEIS and CALPADS on a very regular basis. We recognize that this first cycle is very time intensive, but if your local data team can build a standing process to run these reports monthly (at an absolute minimum), the work of correcting and uploading the data will become easier and quicker.

Post-Secondary Outcomes

For those LEAs which enroll high school students, remember you will be responsible for providing data around Indicators 14a-c (Post School Outcomes [Higher Ed], Post Secondary Outcomes [Higher Ed or Competitively Employed], and Post School Outcomes [Post
Secondary Ed or Employed], respectively) later this academic year. Apparently, some districts within the state discovered that if they reported nothing in these fields, they would not get flagged for review. CDE is updating their review process and will require high schools to provide data for these three fields. If you have had a historically difficult time obtaining this data in the past, you may want to start reviewing your collection process(es) for this year. Official processes for this are outlined in CALPADS Flash 164 (please see attached).

Service Tracker in SEIS

SEIS provides a function in their system called Service Tracker (available from the dark menu bar at the top of the SEIS home page). This can, and should, be used by all service providers to directly document the quantity of services they provide and attempt to provide to each of their students. This will allow more accurate reporting to the State on service provision and, if used correctly, can help an LEA better substantiate provision of FAPE as defined in a student’s IEP. The attached pdfs from SEIS serve as a good primer on the topic.
CALPADS Update Flash #165

Date:  November 22, 2019

To:  Local Educational Agency (LEA) Representatives

From: California Department of Education (CDE) —
California Longitudinal Pupil Achievement Data System (CALPADS) Team

2019–20 Fall 1 Submission Requirements Modification

The CDE recognizes the hard work of LEA staff to incorporate additional data into the Fall 1 submission, and that doing so requires more collaboration both within and external to the LEA. To enable LEAs to focus on certifying the most critical Fall 1 data, the CDE is making the following adjustments to the 2019–20 Fall 1 submission:

- Report 16.5 – Students with Disabilities – Student Services by Primary Disability will be excluded from the set of certification reports that LEAs must approve as part of Fall 1. LEAs, however, are strongly encouraged to continue submitting special education services data on an ongoing basis because this data is required for monitoring and Certification Report 16.5 will be part of the 2019–20 End-of-Year (EOY) 4 submission. For Fall 1, Report 16.5 will be removed from the Certification Details – LEA user interface and will be moved under Additional Supporting Reports.

- The certification validations related to the Student Services (SSRV) file, CERT140 (Missing Special Education [SPED] record for a student with a SSRV record) and CERT142 (Missing Special Education Service for Education Plan Type Code 100, 150, or 200), will be relaxed from a Fatal to a Warning.

- The CDE continues to encourage LEAs and SELPAs to complete their individual approvals by December 20, 2019, with the understanding they can utilize the Amendment Window through January 24, 2020 to further update data to ensure the accuracy of the Fall 1 data. The CDE, however, will use Fall 1 data that LEAs have approved by the December 20, 2019 certification deadline for the First Principal Apportionment (P1). For LEAs that fail to approve their Fall 1 data by the certification deadline, the CDE will use data from 2018–19 to issue P1. The CDE will continue to use data certified by January 24, 2020, the close of the Amendment Window, for P2 calculations.
With the rollout of this new functionality, the CDE understands the need to address the issues that arise as soon as possible, and to provide relief by loosening validations balanced with the need for quality data.

**Viewing Fall 1 SPED Certification Errors**

LEAs have been working diligently to resolve all Fall 1 errors related to input validation rules (IVRs) and certification validation rules (CVRs) in order to meet the approval and certification deadlines. Currently, only IVRs related to the SPED or SSRV files are sent back through the application programming interface (API) to the special education data system (SEDS) where they are easily visible to special education data coordinators. The special education-related CVR errors, however, are not being returned through the API to the SEDS and so the special education data coordinators are often not aware that these errors exist.

In order to see these errors, special education data coordinators must log on to CALPADS, go to the Certification Status LEA Approval page, and click on the Total Error/Warnings link. A Certification Error Report can also be obtained through the Reports menu and selecting Snapshots. Keep in mind that the Fall 1 Reports role is required to see the Certification Error Report.

CALPADS data coordinators are encouraged to be patient about having special education data coordinators resolve these CVR errors because many of them are still attempting to submit the SPED and SSRV records and resolve the associated IVR errors. Additionally, many of these CVR errors will resolve on their own once all of the SPED and SSRV records are submitted and posted to CALPADS.

We continue to encourage special education data coordinators to focus on the IVRs, and, only when those are resolved, to focus on the remaining fatal CVRs.
CALPADS Know Issues/Resolutions
As of 11.27.2019

CALPADS UPDATE
The CALPADS Delete feature is now live. Please note: When deleting Service transactions, there may be an extended delay. CALAPDS is aware of this and is working on correcting it. The ETA for the fix is 12/3.

CALPADS FIXED ISSUES
Please Note: For all fixed issues, the file will need to be resubmitted to have the errors removed. If a transaction was marked Do Not Report to bypass the error, the transaction would have to be restored and a new report would have to be generated to have the transaction included in the file.

Error: CERT140 - Missing SPED record for a student with a SSRV record (for Meeting Type 40 (Triennial) that was submitted with services) – This fatal error was reduced to a Warning
Error: CERT142 – Missing SSRV record for a student with a SPED record. This fatal error was reduced to a Warning
Errors: SPED0438 - Education Plan Amendment Date Mismatch – Error has been disabled
Error: SPED0005 – Invalid NPS School – Error has been disabled
Error: SSRV0005 – Invalid NPS School – Error has been disabled
Error: SPED0349 - Missing SPED Meeting or Amendment Identifier. For Pending Plan Type 300 students
Error: SPED0437 – Invalid Triennial Evaluation Type - This fatal error was reduced to a Warning
Error: SPED0441 - Missing Education Plan Amendment Date - This fatal error was reduced to a Warning
Error: SPED0443 – Invalid SELPA for Reporting LEA
Error: SSRV0446 – Duplicate service record within file for two distinct meeting dates/types
Error: SSRV0328 – Invalid Special Education Service Provider Code

CALPADS FIXED ISSUES THAT REQUIRE A DELETION OF DATA IN CALPADS
SPED0406 - Special Education Initial Entry Start Date does not equal to existing Initial Service Start Date in the ODS
For students that are receiving the SPED0406 error, the CALPADS validation is checking the Initial Entry Start Date in that transaction compared to a transaction that has already posted to CALPADS. If the dates are different, the SPED0406 error will occur. The Initial Entry Start date should never be changed.

First thing to do is determine where the correction needs to be made. Does the transaction on CALPADS have the correct Initial Entry Start Date?

• If the Initial Entry Start Date is correct in CALPADS, then find that transaction in SEIS, fix the Initial Entry Start Date and resubmit the SPED file along with any additional SPED files for the student as all records must match.

• If the Initial Entry Start Date is incorrect in CALPADS, then the transaction would need to be deleted from CALPADS.
Here are the steps to delete the SPED transaction:

- Log into CALPADS
- Enter the students SSID number into the Student field, under the Quick Search section of the left-hand navigation pane
- Expand the Special Education container
- Click the Open button on the SPED record that needs to be deleted
- Click the Delete button (this step may take a few seconds up to a minute depending on server load at the time of the request)

Once the transaction is deleted in CALPADS, the transaction(s) will need to be corrected in SEIS:

- Go to the CALPADS Transactions page
- Locate the student’s transaction by filtering for All Transactions and entering the SEIS ID or SSID in the filter
- Edit the transaction and correct the Initial Entry Start Date
- Save the transaction
- Repeat this step for any reportable transaction for the student

Once the transaction is corrected, the transaction will need to be resubmitted to CALPADS

- This transaction can be submitted individually by checking the box next to the transaction(s) and clicking the Generate Report button.

**SPED0375 - Duplicate SPED records exist for the same student**

For students that are receiving the SPED0375 error, the CALPADS validation is checking the SSID in that transaction compared to a transaction that has already posted to CALPADS, issue may stem from the retired SSID nightly process which occurs in CALPADS.

The steps to correct this error is delete the SPED transaction in CALPADS:

- Log into CALPADS
- Enter the students SSID number into the Student field, under the Quick Search section of the left-hand navigation pane
- Expand the Special Education container
- Click the Open button on the SPED record that needs to be deleted
- Click the Delete button (this step may take a few seconds up to a minute depending on server load at the time of the request)

Once the transaction is deleted in CALPADS, the SSID will need to be verified in SEIS for each transaction:

- Go to the CALPADS Transactions page
- Locate the student’s transaction by filtering for All Transactions and entering the SEIS ID or SSID in the filter
- Edit the transaction and verify the SSID
- Save the transaction
- Repeat this step for any reportable transaction for the student

Once the transaction is corrected, the transaction will need to be resubmitted to CALPADS

- This transaction can be submitted individually by checking the box next to the transaction(s) and clicking the Generate Report button.
CURRENT CALPADS KNOWN ISSUES

**Error:** SPED0424 - Invalid SPED Meeting or Amendment identifier. For Pending Plan Type 300 students
**Status:** ETA of fix 12/3

**Error:** Cert137 – Late Triennial (WARNING)
**Status:** No ETA

**Error:** SSRV0419 - Student Age must be between 6-21 years for this Special Education Service Code
**Status:** CALPADS will be updating the age validation from age 22 to 23. This will allow users to submit data for students through the age 22. **ETA of fix 12/10**

**Error:** Cert145 – SPED record missing for student enrolled in Private School
**Status:** ETA of fix 12/4. CALPADS has made an update that fixed this error for most students. Please check your certification report to verify if the error has been resolved.

**Error:** Cert144 - SPED record missing for student enrolled in Private School
**Status:** ETA of fix 12/4

**Error:** SSRV0440 - Missing SPED Record for student with SSRV record
**Error:** SSRV0445 - Mismatch of Student Special Education Meeting or Amendment Identifier
**Status:** ETA 12/3

OTHER ERRORS

**Error:** GERR0005 - LEA does not have ownership of student enrollment to make update
**Resolution:** If you are receiving the GERR005 error, please contact CALPADS on how to fix this error, as research may be needed per student.
- If a student was not enrolled by your LEA/District this school year (if an SENR enrollment record was not created/submitted by your SIS to CALPADS) due to the student transferring OUT of your LEA/district or Exiting SpEd program, these records SHOULD NOT be reported to CALPADS. On the CALPADS Transactions page, mark these student records as Do Not Report.
- If the student is dual enrolled in separate LEAs, the SPED record must be submitted by the LEA that holds the primary enrollment record.

**Error:** SPED0364 - Student Age must be between 2-6 years for this Special Education Program Setting Code
**Resolution:** This error is caused by a discrepancy in the students Birth Date from what was submitted to CALPADS by the SIS vs. what was submitted in the SPED file. Verify the Birth Date listed in CALPADS and make the correction to the Birth Date in the SIS and/or the SPED record.

**Error:** SPED0405 - Student Age must be between 5-21 years for this Special Education Program Setting Code
**Resolution:** Issue is appearing mainly for Triennial Meeting Types (Code 40) as the CASEMIS software validated the program setting based on age as of 06/30/2019 while CALPADS is validating program
setting on age as of Meeting Date. Based on the meeting date, if the age was 3-5 at their triennial, error SPED0405 will appear.

In order to correct this error, on the CALPADS Transactions page (or when correcting an error via the Wrench on your homepage/dashboard errors list), review the code in field:

**14.31b Program Setting (For ages 3-5 only, regardless of grade level)** and CHANGE the code in field: 

**14.31 Program Setting - Reported to CALPADS** to reflect the code from the 3-5 age range:

![Field options for Program Setting]

Else, review the student’s historical IEP to determine what Program Setting code had been selected for the triennial meeting and update field **14.31 Program Setting - Reported to CALPADS** to reflect the code from the 3-5 age range on the CALPADS Transactions page/Wrench Fix Errors page.

**ADDITIONAL INFORMATION**

For students that were marked Do Not Report for the 6/30 report, a transaction was not created. In order to create a transaction in the district, the student must be unmarked Do Not Report and the last IEP must be re-affirmed.

Submitting files to CALPADS and the status in CALPADS is **File Failed**  
When users click on the File they receive this alert: **Source file \\prd-vm-app-rs1\LandingZone\Inbox\22300556_CALPADSEXtract.csv is empty**  
  - Users are not able to send files via the API when logged into **IE and Safari** for MAC.

**CDS Codes to use for schools that do not have a CDS code.**

To avoid the SPED00002 Warning, the CDS code in SEIS must match the CDS code in the SIS.

- **NPS:** 99999999
- **Private Schools:** 0000002
  - If the Private school does have a CDS code
    - Enter the real CDS (and receive the warning)
    - OR
    - Enter the real CDS code and enter 0000002 as the CDS Conversion (to avoid the Warning)
- **Schools such as Home School or State Preschools:** Use the district portion of the CDS code as the school CDS code. Please Note: The school must be added under the same in district in SEIS as in the SIS, in order to have the same full CDS code and avoid the Warning.
**CDE Webinar on 10/8 - Validation Rule Changes**

An ETA was not provided these updates. As updates are made, we will add to the Fixed Issues list.

### Validation Rule Changes

- Disable SPED0400 - Invalid Student Age for Education Plan Type Code 150 (IFSP) duplicate of SPED0386
- Rename SPED0399 - Missing Infant Regional Center Services Eligibility Indicator
- Modify SPED0438 – Education Plan Amendment Date Mismatch-Fatal to add 2 more fields for comparison and requires new SPED record at new LEA (duplicate of previous LEA record)
- Modify SPED0378-SPED0385 - Postsecondary IVRs, Student Age Trigger Logic to Less Than 13 years
- Modify SPED IVR severity to Warning (from Fatal):
  - SPED0428, Meeting Delay Code Should Not Be Populated
  - SPED0429, Meeting Delay Code for Subsequent Triennial Evaluation
  - SPED0430, Meeting Delay Code for First Triennial Evaluation
  - SPED0436, Invalid Annual Education or Service Plan Meeting Type
  - SPED0437, Invalid Triennial Evaluation Type
- Disable CERT143 – Missing SENR record for a student with a SPED record – This CERT will trigger for LEAs when the student fails to show up at another LEA.
- Reduce severity of CERT 132 from a fatal to a warning - Missing SPED record for Enrolled Student at Reporting LEA. Keeping as a warning will give the LEAs a heads up the student has a SPED record at another LEA.

### QUICK TIPS

CALPADS Home page: [https://www.cde.ca.gov/ds/sp/cl/](https://www.cde.ca.gov/ds/sp/cl/)

CALPADS Listservs: [https://www.cde.ca.gov/ds/sp/cl/listservs.asp](https://www.cde.ca.gov/ds/sp/cl/listservs.asp)

CALPADS System Documentation: [https://www.cde.ca.gov/ds/sp/cl/systemdocs.asp](https://www.cde.ca.gov/ds/sp/cl/systemdocs.asp)

SEIS system updates/informational guidance is constantly uploaded to the Help Center → Training Materials Please check this page periodically.
Benefits for Admin Users

• Quickly and easily document delivery of services and assessments for providers

• Access DNQ/Exited Students for delivering services and assessments for providers

• Run a report of all services and assessments for any provider

• Real Time Data Analysis
  o As data is entered into SEIS, it will generate up-to-date rosters and reports

• This feature monitors the total amount of minutes provided to the student and can report to districts, when services are being over-delivered or under-delivered

• Automated export of data for SEIS Billing customers, for LEA Medi-Cal Reimbursement
Menu Options

Make sure your user type is set up correctly. As a District or SELPA level user you will see the below options in the Service Tracker dropdown menu.

Click on Service Tracker from the upper navigation bar

Use the Service Tracker drop down to navigate between Reports, Providers, Exited and DNQ/Not Providing Services.

Provider Selection Page

Use the Provider Filter to Select the Provider. Filters include Provider Type and Last Name.

Select Provider

<table>
<thead>
<tr>
<th>Service Tracker Providers</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provider Filter</td>
</tr>
<tr>
<td>Provider Type</td>
</tr>
<tr>
<td>----------------</td>
</tr>
<tr>
<td>Filter Options</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Search Results</th>
</tr>
</thead>
<tbody>
<tr>
<td>Displaying [X] of [Y] entries</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Provider</th>
<th>Provider Type</th>
<th>First Name</th>
<th>Last Name</th>
<th>Phone</th>
<th>Email</th>
<th>Total Students</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provider 1</td>
<td>Special Education</td>
<td>John</td>
<td>Doe</td>
<td>555-1234</td>
<td><a href="mailto:johndoe@email.com">johndoe@email.com</a></td>
<td>20</td>
</tr>
<tr>
<td>Provider 2</td>
<td>Social Worker</td>
<td>Jane</td>
<td>Smith</td>
<td>555-5678</td>
<td><a href="mailto:janesmith@email.com">janesmith@email.com</a></td>
<td>15</td>
</tr>
<tr>
<td>Provider 3</td>
<td>Speech Therapist</td>
<td>Michael</td>
<td>Johnson</td>
<td>555-9012</td>
<td><a href="mailto:michaeljohnson@email.com">michaeljohnson@email.com</a></td>
<td>10</td>
</tr>
</tbody>
</table>

Note: The table above is a sample and actual data will vary.
Provider Dashboard - Deliveries

Service Tracker Provider Dashboard

Provider: Cassell2 Cassell22

Provider Dashboard

Provider Type: Teacher - Speech Therapy - Licensed Speech-Language Pathologist
Eligible: 40
Pending: 105
Case Manager: 0
Total Students: 115

Return to Providers navigates you back to the Provider page.

Click the Deliveries button.

Delivery Summary Page

Filters the Results
Name, Service Code, School, Eligibility, and Frequency

View IEPs List Page
View Student Profile
Deliver Service

Total Delivered calculates the total minutes that have been delivered vs. the total minutes needed for the IEP year (based on Start/End dates and frequency/duration)

Service Information pulls from the Future IEP.
Delivered Services

Clicking the Delivery icon will display all deliveries for that Service

Service Tracker – Deliveries

<table>
<thead>
<tr>
<th>Name</th>
<th>Attendance Code</th>
<th>Delivery</th>
<th>Minutes Delivered</th>
<th>District to Bill</th>
<th>School of Attendance</th>
<th>Date Added</th>
<th>Last Modified</th>
<th>Delivered</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jones EJ</td>
<td>01234567890</td>
<td>Individual</td>
<td>0</td>
<td>Demo Unified</td>
<td>Demo Roosevelt</td>
<td>06/25/2017</td>
<td>2300</td>
<td>Billed</td>
</tr>
<tr>
<td>Jones EJ</td>
<td>01234567890</td>
<td>Individual</td>
<td>0</td>
<td>Demo Unified</td>
<td>Demo Roosevelt</td>
<td>06/25/2017</td>
<td>2300</td>
<td>Billed</td>
</tr>
</tbody>
</table>

Click the Add Service button to add a new delivery

Edit delivery  Delete delivery  View comments  View case notes

SEIS BILLING

Adding a Delivery

Select the student’s Attendance Code
Select up to 10 days
Prescription on file: for OT and PT only
Leave comments as necessary

Fill in Plan Type, Delivery, Total Minutes Provided, and District to Bill
Diagnostic Code and School of Attendance will default to what is on the IEP
Leave Case Notes as necessary

Click Save to Save your Delivery
Start by managing your Groups

Creating a new Group

Click Add Group to add a new Group
Creating a new Group

Select Delivery Type
Select a Service Code
Enter a Group Name

Click Save to add the new Group

Group Options

Edit Group Name / Info  Bulk Deliver to Group  Manage Students in Group  Delete Group
Adding Students to a Group

- Check the box next to the students to be added to the group, then click the Add to Group button.
- The student list is filtered by the Service Code selected for the Group.
- All students in the group will display here.
- Click the Return to Bulk Delivery button to return to the Bulk Delivery page.

Bulk Delivering to a Group

- Click Bulk Deliver icon to Bulk Deliver to a Group.
Bulk Deliver to Group

Select one delivery date

Written Prescription on File (OT and PT only)

Leave comments as necessary

Select Plan Type, District of Service, Attendance Code, and Total Minutes Provided

Diagnostic Code will default

Click Save to Save your Delivery

Editing a Bulk Delivery

The Bulk Delivery Log displays if the delivery was successful or not

To edit a bulk delivery for a specific student, click the Edit icon
Provider Dashboard - Assessments

Click the Service Tracker section of the upper navigation bar.
Click the Providers tab.
Choose the Provider on the Provider Selection page.

Service Tracker Provider Dashboard

Provider Dashboard
Provider Type: Teacher - Speech Therapy - Licensed Speech-Language Pathologist
Eligible: 0
Pending: 0
Case Manager: 1
Total Students: 0

**Return to Providers navigates you back to the Provider selection page.**

Assessments

Filter down results by: Name, School, Eligibility and Service Type

**Deliver Assessment**
# Adding an Assessment

## Adding an Assessment

### Click the Add Assessment button to add a new Assessment

<table>
<thead>
<tr>
<th>Date</th>
<th>Assessment</th>
<th>Plan Type</th>
<th>Delivery</th>
<th>District</th>
<th>School of Attendance</th>
<th>Provider Name</th>
<th>Date Added</th>
<th>Last Modified By</th>
<th>Billed</th>
</tr>
</thead>
<tbody>
<tr>
<td>9/14/2019</td>
<td>2 - Annual Assessment</td>
<td>IEP</td>
<td>Individual</td>
<td>Demo Unit</td>
<td>Demo Location</td>
<td>Consumer</td>
<td>9/15/2019</td>
<td>Consumer/Consumer</td>
<td>0</td>
</tr>
</tbody>
</table>

**Select the Assessment Date**

**Select Plan Type, Assessment Type, and District to Bill**

**Leave comments as necessary**

**Delivery, Diagnostic Code and School will default to what is on the IEP**

### Click Save to save the assessment

**New Assessment**

**Assessment Date:**

**Plan Type:**

**Assessment:**

**Comments:**

I certify under my professional capacity and belief, that the services herein have been performed. I understand that it is my sole responsibility to maintain a true and complete record of my services performed in accordance with the laws and regulations of the State of [State Name].

**Example:**

- Delivery: Individual, Group, Consult
- Plan Type: Evaluation, Treatment, Consultation
- Assessment: Initial Assessment, Evaluation, Consultation
Provider Dashboard
Rosters & Reports

Click the Service Tracker section of the upper navigation bar
Click the Providers tab
Choose the Provider on the Provider Selection page

Service Tracker Provider Dashboard

Provider Type: Teacher - Speech Therapy - Licensed Speech-Language Pathologist
Eligible: 47
Pending: 106
Caseload Manager: Tim
Total Students: 155

Rosters

Printed Rosters pull the information input into the Service Tracking feature and look like this.

Providers sign and date Roster on a monthly basis.
Reports

Type of Reports

These are the available reports offered through Service Tracking:

- **Deliveries** – shows all of the services delivered for the student(s) by a provider.
- **Overdelivered** – shows any student / service where the total minutes delivered is above the number of minutes written into the IEP.
- **Absences** – shows all service deliveries that were not delivered due to a student's absence.
- **Unscheduled Time** – shows all deliveries marked as unscheduled time.
- **Assessments** – will pull assessments entered.
- **Deliveries with Case Notes** – shows all of the services delivered with case notes for student(s) by a provider.

Reports

Reports will pull specific information for the date range selected and student(s) selected.

Select the Type of Report from the drop down. Then enter a Start and End date. Report will default to all students unless specific students are selected. Click the Download Report button to produce report.
Admin Reports
(These reports can only be pulled when logged in your District/SELPA level account)

Click the Service Tracker section of the upper navigation bar
Click on Reports

Select the Report Type
Select a Start Date and End Date
Click the Export Report button to produce the Excel report. The report will contain all deliveries and assessments entered into Service Tracking.
Service Tracking

Provider Level Training

Quickly and easily document delivery of services

Real time analysis on delivery of services

Service tracker alleviates double entry

Real Time Data
  - As data is entered into SEIS, it will generate up-to-date registers and reports

The Service Tracking feature allows users to enter the amount of time they delivered each service
  - Because this is done through SEIS, all pertinent information such as services on the IEP, Caseloads, Provider Types, and Service Frequency / Duration information is already in place.

This feature monitors the total amount of minutes provided to the student and can report to districts, when services are being over-delivered or under-delivered

Automated export of data to SEIS Billing for LEA Medi-Cal Reimbursement

Contact
1.866.468.2891
http://beta.seis.org
Is your User Account set up correctly?

This is the User Type that will determine if your provider type is billable to Medi-Cal and the rate at which the delivery of services will be billed at. If incorrect, contact your district administrators. They will be able to update your User Type.

Is Your Caseload Correct?

Do you have access to all of the students to whom you provide services?

Click the Caseload link in the Students section of the upper navigation bar to verify.

Contact
1.866.468.2891
http://beta.seis.org
Menu Options

Click on Service Tracker from the upper navigation bar
Use the Service Tracker drop down to navigate between Deliveries, Assessments, Rosters and Reports

Delivery Summary Page

Filter your results.
Filters include Name, Service Code, School, Eligibility, and Frequency

View IEPs List Page
View Student Record
Deliver Service

Total Delivered calculates the total minutes that have been delivered vs. the total minutes needed for the IEP year.

Service Information pulls from the Future IEP
Delivered Services

Clicking the Delivery icon will display all deliveries for that Service.

Service Tracker - Deliveries

<table>
<thead>
<tr>
<th>Date</th>
<th>Service</th>
<th>Attendance Code</th>
<th>Minutes</th>
<th>District to Bill</th>
<th>School of Attendance</th>
<th>Delivery</th>
<th>Date Added</th>
<th>Last Modified By</th>
<th>Billed</th>
</tr>
</thead>
<tbody>
<tr>
<td>09/16/2017</td>
<td>Delivered</td>
<td>Individual</td>
<td>0</td>
<td>Demo School</td>
<td>Demo Roosevelt</td>
<td>09/15/2017</td>
<td>08/25/2017</td>
<td>Cassadaga 2</td>
<td></td>
</tr>
<tr>
<td>09/17/2017</td>
<td>Delivered</td>
<td>Individual</td>
<td>0</td>
<td>Demo School</td>
<td>Demo Roosevelt</td>
<td>09/17/2017</td>
<td>08/25/2017</td>
<td>Cassadaga 2</td>
<td></td>
</tr>
</tbody>
</table>

Click the Add Service button to add a new delivery.

Edit delivery  Delete delivery  View comments  View case notes

Adding a Delivery

Select up to 10 days at a time for delivery.
Select the student’s Attendance Code.
Prescription on file only for OT and PT Services.
Leave any comments as necessary.

Fill in Plan Type, Delivery, Total Minutes Provided, and District to Bill.
Diagnostic Code and School of Attendance will default automatically.
Leave any Case Notes as necessary.
Click the Save button to Save your Delivery.
Bulk Delivery

Creating a new Group

Contact 1.866.468.2891
http://beta.seis.org
Creating a new Group

Enter a Group Name
Select a Service Code
Select Delivery Type

Group Options

Edit Group Name / Info
Bulk Deliver to Group
Manage Students in Group
Delete Group
Adding Students to a Group

The student list is filtered by the Service Code for the Group.

All students in the group will display here.

Click the Return to Bulk Delivery button to return to the Bulk Delivery page.

Check the box next to the students to be added to the group, then click the Add to Group button.

Bulk Delivering to a Group

Click Bulk Deliver icon to Bulk Deliver to a Group.
Bulk Deliver to Group

Select one delivery date

Leave any comments as necessary

Editing a Bulk Delivery

To edit a bulk delivery for a specific student, click the Edit icon

The Bulk Delivery Log displays all students in the group, and if the delivery was successful or not
Assessment Tracking

Click the Service Tracker section of the upper navigation bar.
Click the Assessments tab.

Assessments

Filter down results displayed below. Filters include: Name, School, Eligibility and Service Type.
Adding an Assessment

Click the Add Assessment button to add a new Assessment.

Select the Assessment Date.

Fill in Plan Type, Assessment Type, and District to Bill. Delivery, Diagnostic Code and School information will default automatically.

Leave any comments as necessary.
Rosters

Click the Service Tracker section of the upper navigation bar
Click on Roster

Pull an Attendance Roster for the selected month and selected student(s)
Print the Roster each month and turn into your district administrator
This will include the information input into the Service Tracking feature

Select the month and year. Roster will default to all students unless specific students are selected

Click the Print button to generate roster
Rosters

Printed Rosters pull the information input into the Service Tracking feature and look like this.

Sign and date Roster on a monthly basis.

Reports

Reports will pull specific information for the date range selected and student(s) selected.

Select the type of report from the dropdown menu as well as a start and end date.

Report will default to all students unless specific students are selected.

Click the Download Report button to generate report.
Type of Reports

These are the available reports offered through Service Tracking:

- **Deliveries** – shows all of the services delivered for the student(s) by a provider.
- **Overdelivered** – shows any student / service where the total minutes delivered is above the number of minutes written into the IEP.
- **Absences** – shows all service deliveries that were not delivered due to a student’s absence.
- **Unscheduled Time** – shows all deliveries marked as unscheduled time.
- **Assessments** – will pull assessments entered.
- **Deliveries with Case Notes** – shows all of the services delivered with case notes for student(s) by a provider.
# 2019–20 California Student Assessment Accessibility

**Graphic for English Language Arts/Literacy**

Unless noted in parentheses, the listed resources may be used in all domains: Listening (L), Reading (R), and Writing (W).

## Available to All Students

### Universal Tools

<table>
<thead>
<tr>
<th>Embedded</th>
<th>Non-Embedded</th>
</tr>
</thead>
<tbody>
<tr>
<td>Keyboard navigation</td>
<td>Keyboard navigation</td>
</tr>
<tr>
<td>Line reader</td>
<td>Line reader</td>
</tr>
<tr>
<td>Mark for review</td>
<td>Mark for review</td>
</tr>
<tr>
<td>Spellcheck (W)</td>
<td>Spellcheck (W)</td>
</tr>
<tr>
<td>Strikethrough</td>
<td>Strikethrough</td>
</tr>
<tr>
<td>Thesaurus¹ (W)</td>
<td>Thesaurus¹ (W)</td>
</tr>
<tr>
<td>Writing tools (specific items)</td>
<td>Writing tools (specific items)</td>
</tr>
<tr>
<td>Zoom (in/out)</td>
<td>Zoom (in/out)</td>
</tr>
</tbody>
</table>

### Designated Supports

<table>
<thead>
<tr>
<th>Embedded</th>
<th>Non-Embedded</th>
</tr>
</thead>
<tbody>
<tr>
<td>Color contrast</td>
<td>Color contrast</td>
</tr>
<tr>
<td>Masking</td>
<td>Masking</td>
</tr>
<tr>
<td>Mouse pointer (size and color)</td>
<td>Mouse pointer (size and color)</td>
</tr>
<tr>
<td>Streamline</td>
<td>Streamline</td>
</tr>
<tr>
<td>Text-to-speech² (not reading passages)</td>
<td>Text-to-speech² (not reading passages)</td>
</tr>
<tr>
<td>Turn off any universal tool</td>
<td>Turn off any universal tool</td>
</tr>
<tr>
<td>Noise buffers</td>
<td>Noise buffers</td>
</tr>
<tr>
<td>Read aloud (not reading passages)</td>
<td>Read aloud (not reading passages)</td>
</tr>
<tr>
<td>Amplification</td>
<td>Amplification</td>
</tr>
<tr>
<td>Bilingual dictionary¹ (W)</td>
<td>Bilingual dictionary¹ (W)</td>
</tr>
<tr>
<td>Color contrast</td>
<td>Color contrast</td>
</tr>
<tr>
<td>Color overlay</td>
<td>Color overlay</td>
</tr>
<tr>
<td>Magnification</td>
<td>Magnification</td>
</tr>
<tr>
<td>Medical supports (restricted settings)</td>
<td>Medical supports (restricted settings)</td>
</tr>
<tr>
<td>Separated setting</td>
<td>Separated setting</td>
</tr>
<tr>
<td>Simplified test directions</td>
<td>Simplified test directions</td>
</tr>
<tr>
<td>Scribe (L, R)</td>
<td>Scribe (L, R)</td>
</tr>
<tr>
<td>Amplification</td>
<td>Amplification</td>
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<tr>
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</tr>
</tbody>
</table>

## Available to Students with an Individualized Education Program (IEP) or Section 504 Plan

### Accommodations

<table>
<thead>
<tr>
<th>Embedded</th>
<th>Non-Embedded</th>
</tr>
</thead>
<tbody>
<tr>
<td>American sign language² (L)</td>
<td>American sign language² (L)</td>
</tr>
<tr>
<td>Audio transcript² (L) (includes braille transcript)</td>
<td>Audio transcript² (L) (includes braille transcript)</td>
</tr>
<tr>
<td>Braille²</td>
<td>Braille²</td>
</tr>
<tr>
<td>Closed captioning² (L) (reading passages)</td>
<td>Closed captioning² (L) (reading passages)</td>
</tr>
<tr>
<td>Text-to-speech¹ (R) (reading passages)</td>
<td>Text-to-speech¹ (R) (reading passages)</td>
</tr>
</tbody>
</table>

### Resources for the California Alternate Assessment

All eligible students shall have any instructional supports and/or accommodations, including the language of instruction, used in their daily instruction in accordance with each student's IEP.

The administration of the California Alternate Assessments (CAAs) to eligible students shall be one-on-one (test examiner to student), according to the California Assessment of Student Performance and Progress (CAASPP) manual. Depending on the student's disability or needs, the CAAs may or may not include the student's independent use of the testing interface. Because the CAAs are given to students one-on-one by a test examiner, some embedded resources are not provided. For example, while a braille version of the test is not provided, the test may be presented using an embosser or a refreshable display.

---

¹ Available only for full-write performance tasks.
² Resource not available for the CAAs.

Refer to the Matrix One web page at [https://www.cde.ca.gov/ta/tg/ai/caasppmatrix1.asp](https://www.cde.ca.gov/ta/tg/ai/caasppmatrix1.asp) for additional information.
## Available to All Students

### Universal Tools

<table>
<thead>
<tr>
<th>Embedded</th>
<th>Non-Embedded</th>
</tr>
</thead>
<tbody>
<tr>
<td>Breaks</td>
<td></td>
</tr>
<tr>
<td>Calculator¹,²</td>
<td></td>
</tr>
<tr>
<td>Digital notepad</td>
<td></td>
</tr>
<tr>
<td>English glossary</td>
<td></td>
</tr>
<tr>
<td>Expandable items</td>
<td></td>
</tr>
<tr>
<td>Expandable</td>
<td></td>
</tr>
<tr>
<td>Highlighter</td>
<td></td>
</tr>
<tr>
<td>Keyboard</td>
<td></td>
</tr>
<tr>
<td>navigation</td>
<td></td>
</tr>
<tr>
<td>Line reader</td>
<td></td>
</tr>
<tr>
<td>Mark for review</td>
<td></td>
</tr>
<tr>
<td>Math tools</td>
<td></td>
</tr>
<tr>
<td>(i.e., embedded</td>
<td></td>
</tr>
<tr>
<td>ruler, embedded</td>
<td></td>
</tr>
<tr>
<td>protractor</td>
<td></td>
</tr>
<tr>
<td>Science charts</td>
<td></td>
</tr>
<tr>
<td>Science tools</td>
<td></td>
</tr>
<tr>
<td>Strikethrough</td>
<td></td>
</tr>
<tr>
<td>Writing tools</td>
<td></td>
</tr>
<tr>
<td>Zoom (in/out)</td>
<td></td>
</tr>
</tbody>
</table>

### Designated Supports

<table>
<thead>
<tr>
<th>Embedded</th>
<th>Non-Embedded</th>
</tr>
</thead>
<tbody>
<tr>
<td>Color contrast</td>
<td>100s number table</td>
</tr>
<tr>
<td>Masking</td>
<td>Amplification</td>
</tr>
<tr>
<td>Mouse pointer (size and color)</td>
<td>Calculator¹</td>
</tr>
<tr>
<td>Streamline</td>
<td>Color contrast</td>
</tr>
<tr>
<td>Text-to-speech²</td>
<td>Color overlay</td>
</tr>
<tr>
<td>Translations² (glossary)</td>
<td>Magnification</td>
</tr>
<tr>
<td>Translations² (Spanish stacked)</td>
<td>Medical supports (restricted settings)</td>
</tr>
<tr>
<td>Turn off any universal tool</td>
<td>Simplified test directions</td>
</tr>
<tr>
<td></td>
<td>Translated test directions</td>
</tr>
</tbody>
</table>

## Available to Students with an Individualized Education Program (IEP) or Section 504 Plan

### Accommodations

<table>
<thead>
<tr>
<th>Embedded</th>
</tr>
</thead>
<tbody>
<tr>
<td>American sign language²</td>
</tr>
<tr>
<td>Audio transcript (includes braille transcript)</td>
</tr>
<tr>
<td>Braille²</td>
</tr>
<tr>
<td>Closed captioning²</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Non-Embedded</th>
</tr>
</thead>
<tbody>
<tr>
<td>Abacus</td>
</tr>
<tr>
<td>Alternate response options</td>
</tr>
<tr>
<td>Large-print special form (as available)</td>
</tr>
<tr>
<td>Print on demand</td>
</tr>
<tr>
<td>Speech-to-text</td>
</tr>
<tr>
<td>Word prediction</td>
</tr>
</tbody>
</table>

### Resources for the California Alternate Assessment

All eligible students shall have any instructional supports and/or accommodations, including the language of instruction, used in their daily instruction in accordance with each student's IEP.

The administration of the California Alternate Assessments (CAAs) to eligible students shall be one-on-one (test examiner to student), according to the California Assessment of Student Performance and Progress (CAASPP) manual. Depending on the student's disability or needs, the CAAs may or may not include the student's independent use of the testing interface.

Because the CAAs are given to students one-on-one by a test examiner, some embedded resources are not provided. For example, while a braille version of the test is not provided, the test may be presented using an embosser or a refreshable display.

¹ Basic calculator for grade five; scientific calculator for grade eight and high school.
² Resource not available for the CAAs.

Refer to the Matrix One web page at [https://www.cde.ca.gov/ta/tg/ai/caasppmatrix1.asp](https://www.cde.ca.gov/ta/tg/ai/caasppmatrix1.asp) for additional information.
### Universal Tools

<table>
<thead>
<tr>
<th>Embedded</th>
<th>Available to All Students</th>
</tr>
</thead>
<tbody>
<tr>
<td>Breaks</td>
<td></td>
</tr>
<tr>
<td>Calculator</td>
<td></td>
</tr>
<tr>
<td>(grades 6–8 and 11)</td>
<td></td>
</tr>
<tr>
<td>Digital notepad</td>
<td></td>
</tr>
<tr>
<td>English glossary</td>
<td></td>
</tr>
<tr>
<td>Expandable items</td>
<td></td>
</tr>
</tbody>
</table>

| Non-Embedded             |                           |
| Breaks                   |                           |
| Scratch paper            |                           |

**Designated Supports**

<table>
<thead>
<tr>
<th>Embedded</th>
<th>Available to All Students</th>
</tr>
</thead>
<tbody>
<tr>
<td>Translations(^1) (Spanish stacked)</td>
<td></td>
</tr>
<tr>
<td>Turn off any universal tool</td>
<td></td>
</tr>
</tbody>
</table>

| Non-Embedded             |                           |
| Amplification            |                           |
| Color contrast           |                           |
| Masking                  |                           |
| Mouse pointer (size and color) |                       |
| Streamline               |                           |
| Text-to-speech\(^1\)     |                           |
| Translated test directions\(^1\) (Spanish stacked) |               |
| Translations\(^1\) (glossary) |                           |

### Available to Students with an Individualized Education Program (IEP) or Section 504 Plan

<table>
<thead>
<tr>
<th>Embedded</th>
<th>Accommodations</th>
</tr>
</thead>
<tbody>
<tr>
<td>American sign language(^1)</td>
<td>Calculator (specific items, grades 6–8 and 11)</td>
</tr>
<tr>
<td>Braille(^1)</td>
<td>Large-print special form (as available)</td>
</tr>
</tbody>
</table>

| Non-Embedded             | Multiplication table (grade 4 and up) |
| 100s number table       | Print on demand |
| (grade 4 and up)        | Speech-to-text |
| Abacus                   | Word prediction |

**Resources for the California Alternate Assessment**

All eligible students shall have any instructional supports and/or accommodations, including the language of instruction, used in their daily instruction in accordance with each student’s IEP.

The administration of the California Alternate Assessments (CAAs) to eligible students shall be one-on-one (test examiner to student), according to the California Assessment of Student Performance and Progress (CAASPP) manual. Depending on the student’s disability or needs, the CAAs may or may not include the student’s independent use of the testing interface. Because the CAAs are given to students one-on-one by a test examiner, some embedded resources are not provided. For example, while a braille version of the test is not provided, the test may be presented using an embosser or a refreshable display.

---

\(^1\) Resource not available for the CAAs.

Refer to the Matrix One web page at [https://www.cde.ca.gov/ta/tg/ai/caasppmatrix1.asp](https://www.cde.ca.gov/ta/tg/ai/caasppmatrix1.asp) for additional information.
2019–20 California Student Assessment Accessibility
Graphic for the California Spanish Assessment

Unless noted in parentheses, the listed resources may be used in all domains: Listening (L), Reading (R), and Writing Mechanics (W).

### Resources Available to All Students

<table>
<thead>
<tr>
<th>Universal Tools</th>
<th>Designated Supports</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Embedded</strong></td>
<td></td>
</tr>
<tr>
<td>Breaks</td>
<td>Embedded</td>
</tr>
<tr>
<td>Digital notepad</td>
<td>Color contrast</td>
</tr>
<tr>
<td>Expandable items</td>
<td>Masking</td>
</tr>
<tr>
<td>Expandable</td>
<td>Mouse pointer</td>
</tr>
<tr>
<td>passages</td>
<td>(size and color)</td>
</tr>
<tr>
<td>Highlighter</td>
<td>Streamline</td>
</tr>
<tr>
<td>Keyboard navigation</td>
<td>Text-to-speech (items only)</td>
</tr>
<tr>
<td></td>
<td>Turn off any universal tool(s)</td>
</tr>
<tr>
<td><strong>Non-Embedded</strong></td>
<td>Non-Embedded</td>
</tr>
<tr>
<td>Breaks</td>
<td>Amplification</td>
</tr>
<tr>
<td>Scratch paper</td>
<td>Color contrast</td>
</tr>
<tr>
<td></td>
<td>Color overlay</td>
</tr>
<tr>
<td></td>
<td>Magnification</td>
</tr>
<tr>
<td></td>
<td>Medical supports</td>
</tr>
<tr>
<td></td>
<td>(restricted settings)</td>
</tr>
<tr>
<td></td>
<td>Noise buffers</td>
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</tbody>
</table>

### Resources Available to Students with an Active Individualized Education Program (IEP) or Section 504 Plan

<table>
<thead>
<tr>
<th>Accommodations</th>
<th>Unlisted Resources</th>
<th>Resources</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Embedded</strong></td>
<td>To obtain approval to use an unlisted resource, an LEA may submit a request in the Test Operations Management System (TOMS) on behalf of a student with a disability, prior to test administration.</td>
<td>All eligible students shall have any instructional supports and/or accommodations, including the language of instruction, used in their daily instruction in accordance with each student’s IEP.</td>
</tr>
<tr>
<td>Braille (embossed and refreshable)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Closed captioning (L)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Spanish audio transcript (L) (including braille transcript)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Text-to-speech (R) (reading passages)</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Non-Embedded</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Alternate response options</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Print on demand</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Read aloud (R) (reading passages only)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Refer to the Matrix One web page at [https://www.cde.ca.gov/ta/tg/ai/caasppmatrix1.asp](https://www.cde.ca.gov/ta/tg/ai/caasppmatrix1.asp) for additional information.
**Universal Tools**

- Breaks, including those that extend testing over more than one day, between the contractor-identified test sections
- Oral clarification, in English, of test directions by the test examiner
- Student use of highlighter(s) in the test book for grades two through twelve
- Student use of marking in the test book for grades three through twelve (no highlighter use)
- Scratch paper
- Sufficient time to complete test

**Available to All Students**

- Adjustments to setting, including:
  - Audio amplification equipment
  - Most beneficial time of day
  - Special lighting or acoustics
  - Special or adaptive furniture
  - Testing in a separate room, provided that the student is directly supervised by an employee who has signed the ELPAC Test Security Affidavit
- Audio or oral presentation of test directions in English, which may be repeated as requested by the student
- Color overlay

**Designated Supports**

- Covered overlay, masks, or other means to maintain visual attention to the test consistent with the test contractor’s test directions
- Magnification
- Manually Coded English or American Sign Language (ASL) to present test directions for administration (does not apply to test questions)
- Noise buffers

**Available to Students with an Individualized Education Program (IEP) or Section 504 Plan**

- **Accommodations**
  - Braille test materials provided by contractor
  - Dictation by the student of responses, including all spelling and language conventions, to a scribe, audio recorder, or speech-to-text converter (W)
  - Large print versions reformatted from regular print version
  - Pause or replay the audio during the administration of test questions (L)
  - Pause or replay the audio during the administration of test questions for Summarize an Academic Presentation (S)
  - Presentation of, and responses to, questions using Manually Coded English or ASL (L, S, W)
  - Responses dictated to a scribe for selected response items, including multiple choice items (L, R, W)
  - Supervised breaks within a section of the test
  - Test questions enlarged through electronic means
  - Testing at home or in the hospital by a test examiner
  - Transfer of student responses marked in the test booklet to the answer book by a scribe who has signed an ELPAC Test Security Affidavit (L, R, W)
  - Use of an assistive device that does not interfere with the independent work of the student (L)
  - Use of written scripts by the test examiner for students for whom streamed audio is not accessible (L)
  - Use of word processing software with the spell and grammar check tools turned off (W)

- **Unlisted Resources**
  - To obtain approval to use an unlisted resource, an LEA may submit a request to the California Department of Education (CDE) on behalf of a student with a disability, prior to administering the Initial or Summative ELPAC.

**Domain Exemption(s)**

- The IEP or Section 504 team may decide to exempt a student from a domain if there are no available resources or unlisted resources that provide the student access to that domain.

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Unless noted in parentheses, the listed resources may be used in all domains: listening (L), speaking (S), reading (R), and writing (W).